

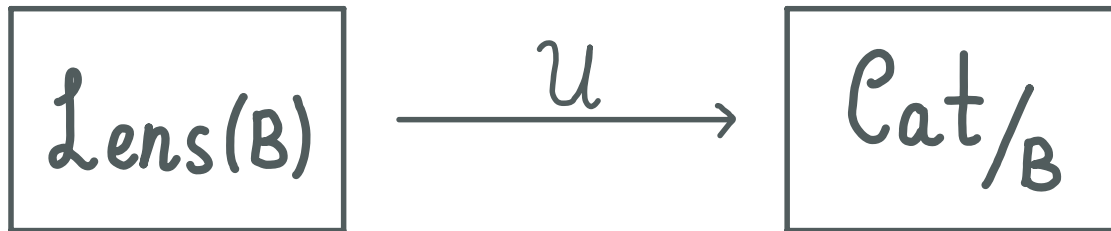
LENSES AS ALGEBRAS FOR A MONAD

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AUSTRALIAN CATEGORY SEMINAR

26 AUGUST 2020

OUTLINE OF THE TALK



GOAL:

Show that the forgetful functor U is monadic

a) Construct a left adjoint F .

b) Prove the equivalence $Lens(B) \simeq (Cat/B)^{UF}$

PLAN:

1) Background & motivation

2) Main theorem

3) Some implications

BACKGROUND: DELTA LENSES

A **(delta) lens** $(f, \varphi): A \rightleftarrows B$ between small categories consists of a functor $f: A \rightarrow B$ together with a function,

$$A_0 \times_{B_0} B_1 \xrightarrow{\varphi} A_1$$

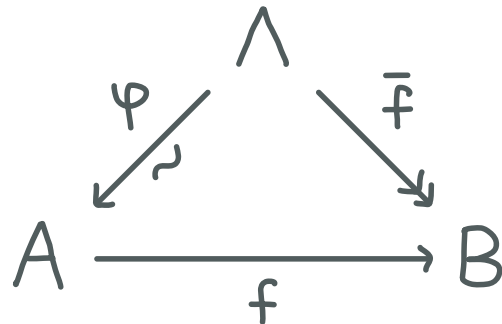
$$(a, u: fa \rightarrow b) \longmapsto \varphi(a, u): a \rightarrow p(a, u)$$

Satisfying the axioms:

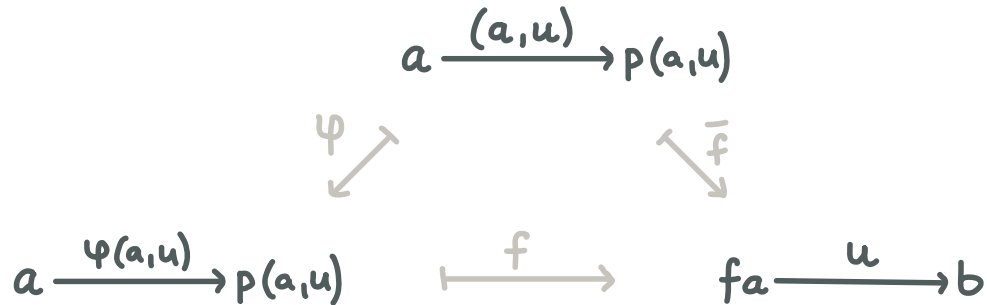
- (1) $f\varphi(a, u) = u$
- (2) $\varphi(a, 1_{fa}) = 1_a$
- (3) $\varphi(a, v \circ u) = \varphi(p(a, u), v) \circ \varphi(a, u)$

where $p(a, u) := \text{cod}(\varphi(a, u))$

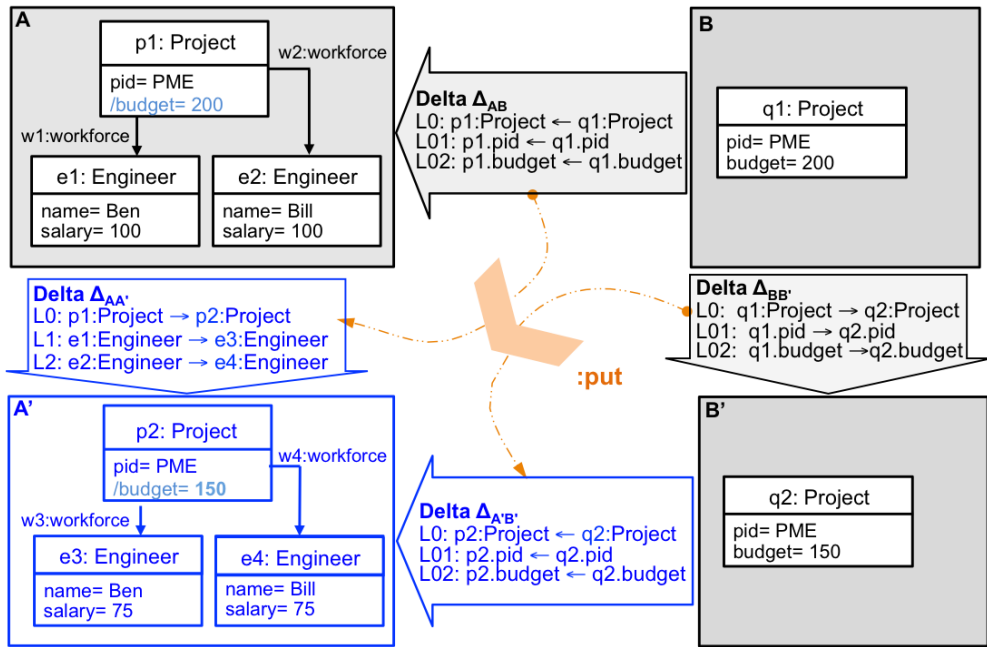
Proposition: Every lens can be represented as a commutative diagram of functors,



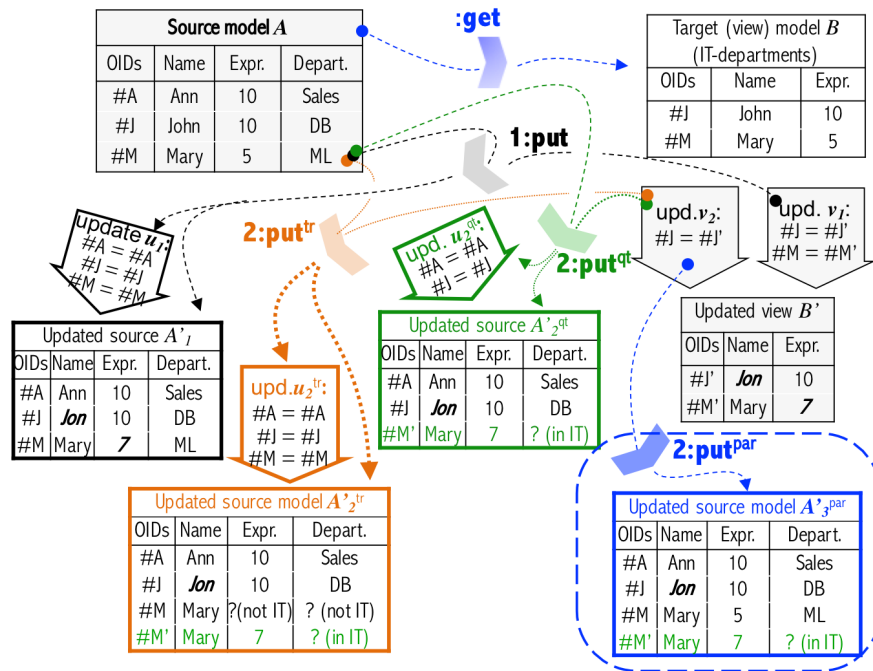
where φ is bijective-on-objects and \bar{f} is a discrete opfibration.



EXAMPLES: MODEL-DRIVEN ENGINEERING



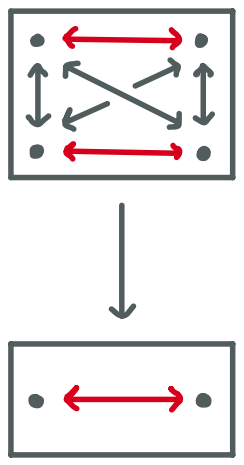
Diskin, Eramo, Pierantonio, Czarnecki (2016)



Diskin (2020)

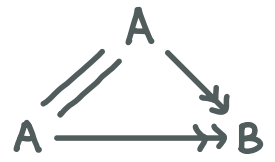
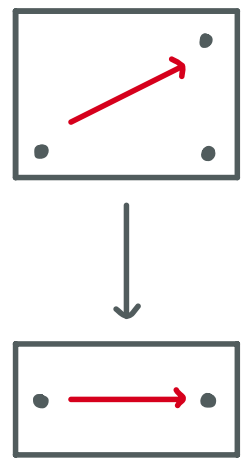
EXAMPLES : BETWEEN FINITE CATEGORIES

State-based lens

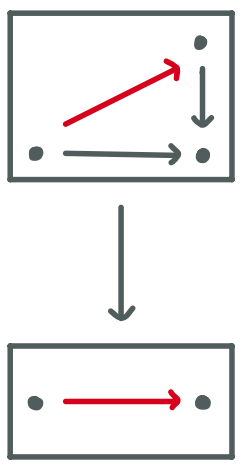


Equivalent to lens between codiscrete cats.

Discrete opfibration

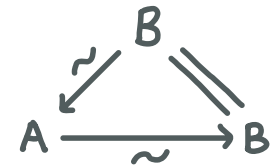
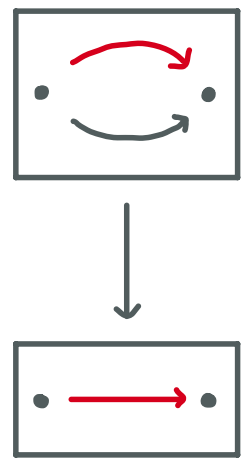


Split opfibration

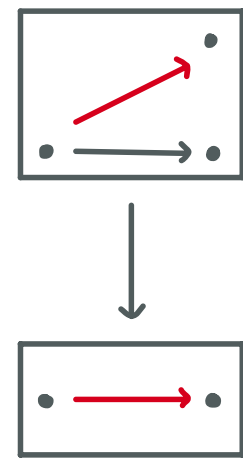


Chosen lifts $\Psi(a,u)$ are opcartesian

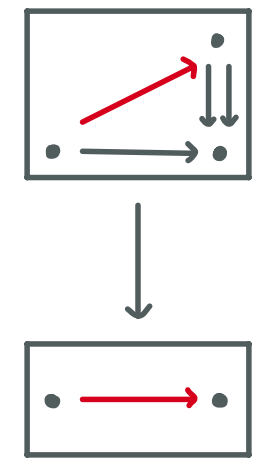
Bijjective-on-objects lens



Lenses without opcartesian lifts



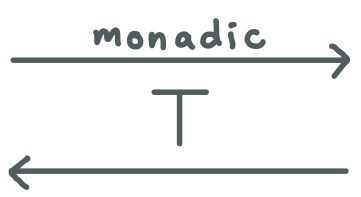
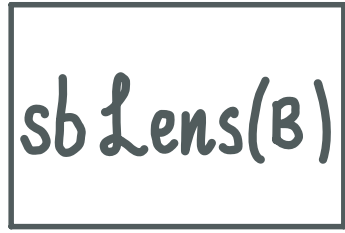
Existence of fillers fails



Uniqueness of fillers fails

STATE-BASED LENSES AS ALGEBRAS FOR A MONAD

Oles (1982);
F, G, M, P, S (2005)



Johnson,
Rosebrugh,
Wood (2010)

A **state-based lens** $(f, p) : A \rightleftarrows B$ consists of a pair of functions,

$$f : A \longrightarrow B \quad p : A \times B \longrightarrow A$$

satisfying the axioms:

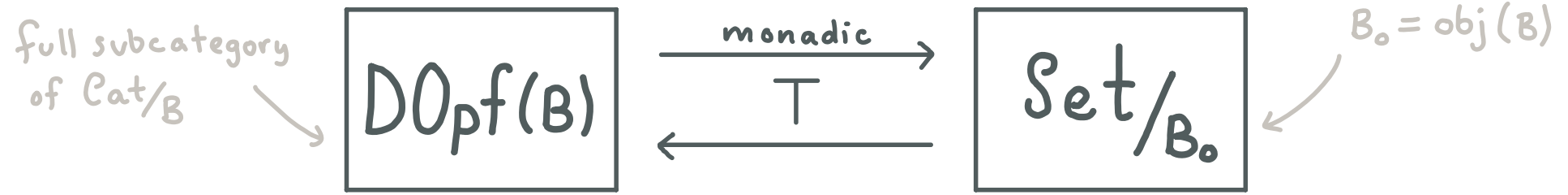
- (1) $f p(a, b) = b$
- (2) $p(a, f a) = a$
- (3) $p(p(a, b), b') = p(a, b')$

Proposition: State-based lens are algebras for the monad:

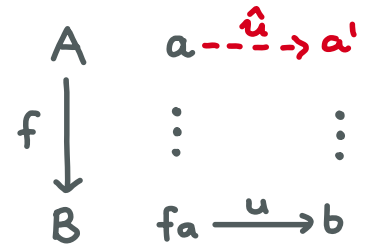
$$\begin{array}{ccc}
 \text{Set}/B & \xrightarrow{\quad} & \text{Set}/B \\
 A \xrightarrow{f} B & \longmapsto & A \times B \xrightarrow{\pi} B
 \end{array}$$

- Every state-based lens is isomorphic to a free algebra.
- This generalises by replacing Set with a category with finite products.

DISCRETE OPFIBRATIONS AS ALGEBRAS FOR A MONAD

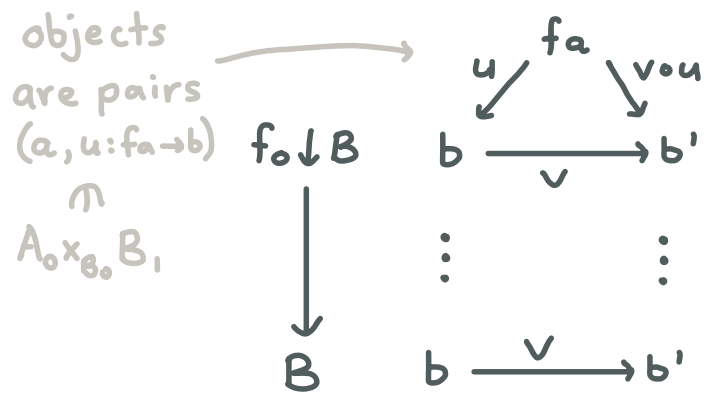


A **discrete opfibration** is a functor $f: A \rightarrow B$ such that for all $a \in A$ and $u: fa \rightarrow b \in B$, there exists a unique morphism $\hat{u}: a \rightarrow a' \in A$ such that $f(\hat{u}) = u$.



The right adjoint above takes a discrete opfibration $f: A \rightarrow B$ to its underlying object assignment $f_0: A_0 \rightarrow B_0$.

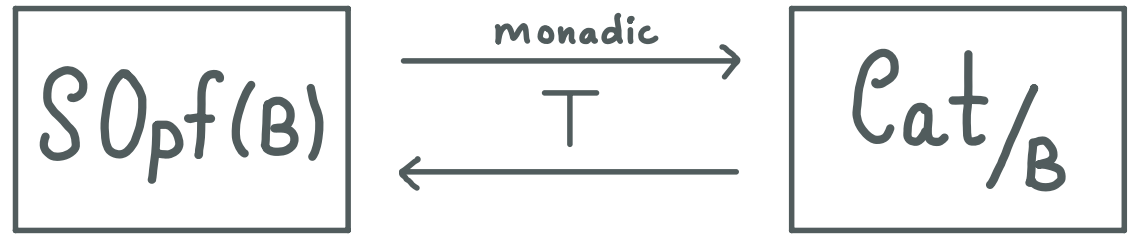
The left adjoint takes a function $f_0: A_0 \rightarrow B_0$ to the **free discrete opfibration** over B :



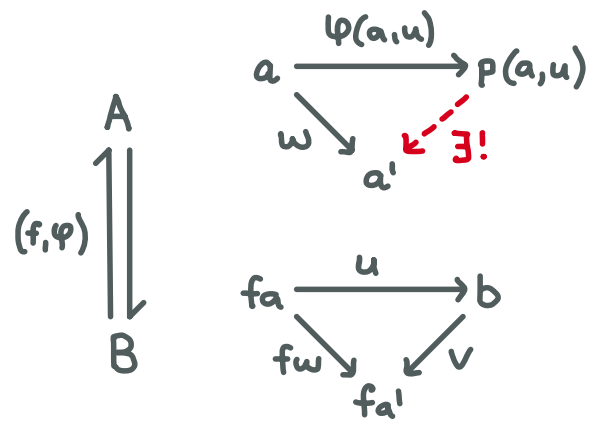
- This generalises by replacing Set with a category with pullbacks.

SPLIT OPFIBRATIONS AS ALGEBRAS FOR A MONAD

morphisms preserve the choices of opcartesian lifts

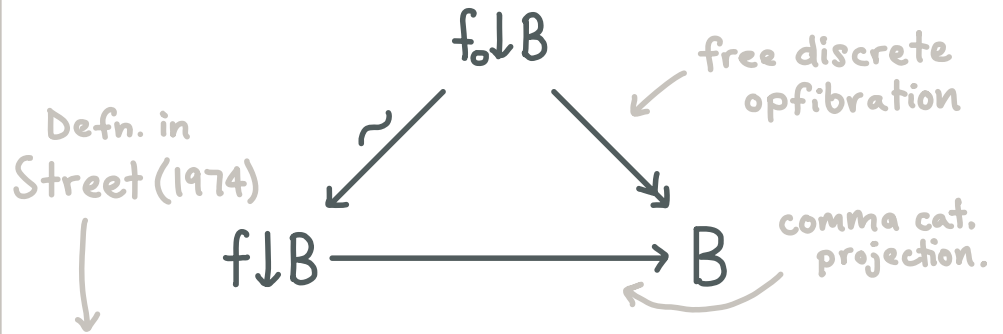


A **split opfibration** is a lens $(f, \varphi): A \rightleftarrows B$ such that for all $a \in A$ and $u: fa \rightarrow b \in B$, the morphism $\varphi(a, u)$ is **opcartesian**.



The right adjoint above takes (f, φ) to $f: A \rightarrow B$.

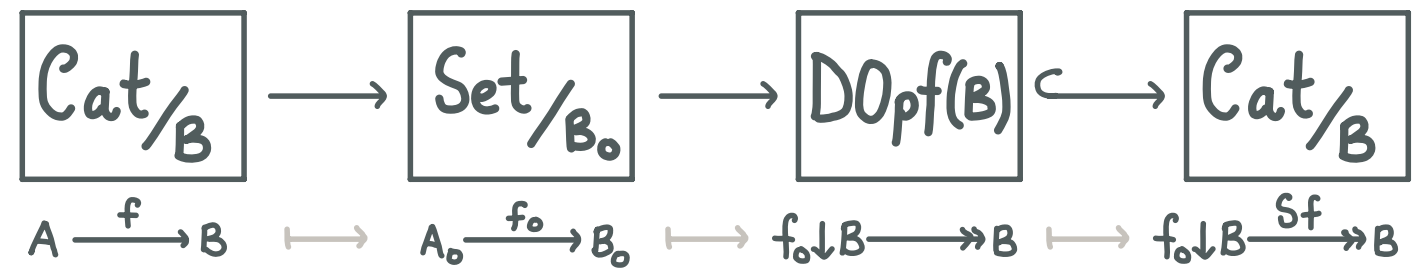
The left adjoint takes a functor $f: A \rightarrow B$ to the **free split opfibration** over B :



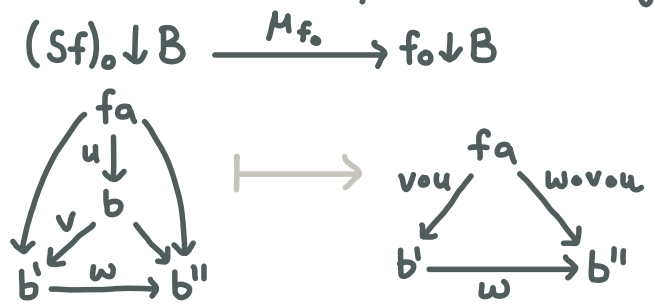
Proposition: Algebras for the monad induced by the adjunction above are equivalent to split opfibrations over B .

LENSES AS ALGEBRAS FOR A... SEMI-MONAD?

Let's call the composite endofunctor S .

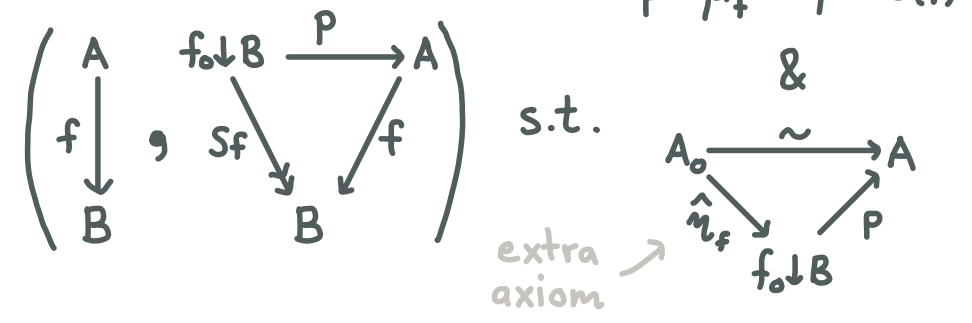


- The above endofunctor takes a functor to the free discrete opfibration on its underlying object assignment.
- There is a natural transformation $\mu: SS \Rightarrow S$ induced by the adjunction for the free discrete opfibration, whose components are given by:



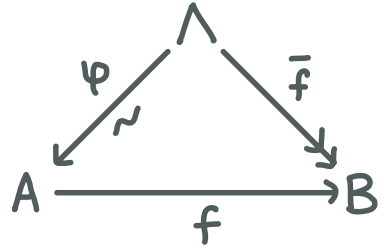
- The pair (S, μ) form a semi-monad on Cat/B .
- Johnson, Rosebrugh (2013)

Proposition: Lenses are equivalent to algebras for the semi-monad (S, μ) subject to an additional axiom.

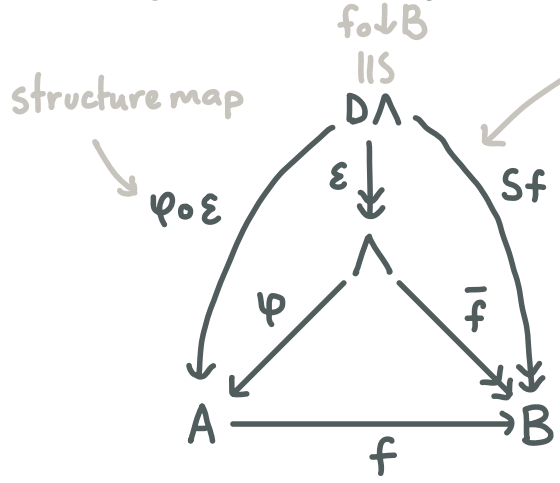


PROOF (SKETCH) OF THE EQUIVALENCE

Consider a lens (f, φ) depicted by the commutative diagram:



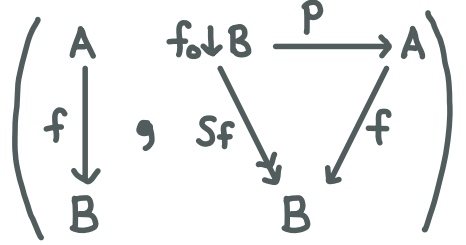
We can obtain an algebra for (S, μ) through taking the décalage of Λ :



counit for $DOpf(B) \xrightarrow{\varepsilon} Set_{/B_0}$

We may show that the pair $(f, \varphi \circ \varepsilon)$ satisfies the previous axioms.

Conversely, consider an algebra for the semi-monad given by:



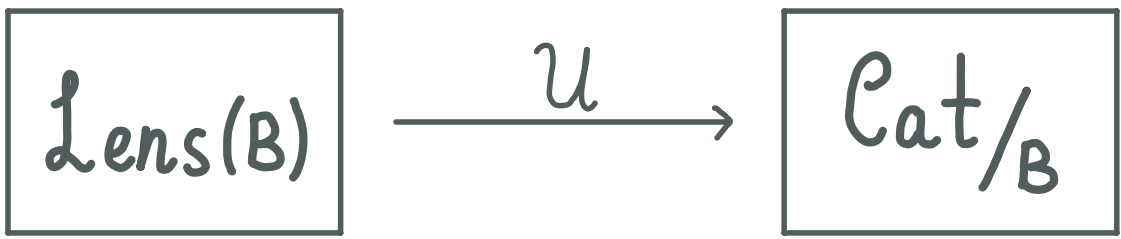
We may define $\varphi: A_0 \times_{B_0} B_1 \rightarrow A$, by:

$$p \left(\begin{array}{ccc} & fa & u \\ 1_{fa} \swarrow & & \searrow \\ fa & \xrightarrow{u} & b \end{array} \right) = p(a, 1_{fa}) \xrightarrow{p\langle a, u \rangle} p(a, u)$$

$\begin{matrix} a \\ || \\ \end{matrix}$

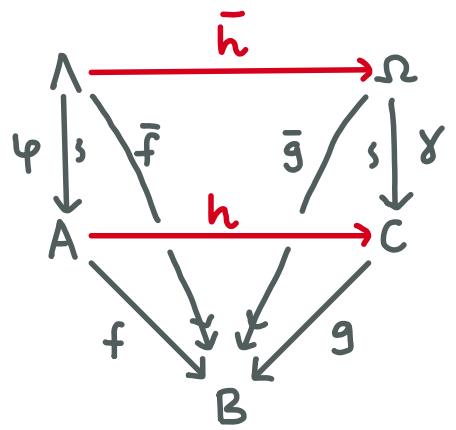
We may show that the lens axioms follow from the axioms for the algebra. ▣

GOAL: LENSES AS ALGEBRAS FOR A MONAD



For each small category B , there is a category $Lens(B)$ whose:

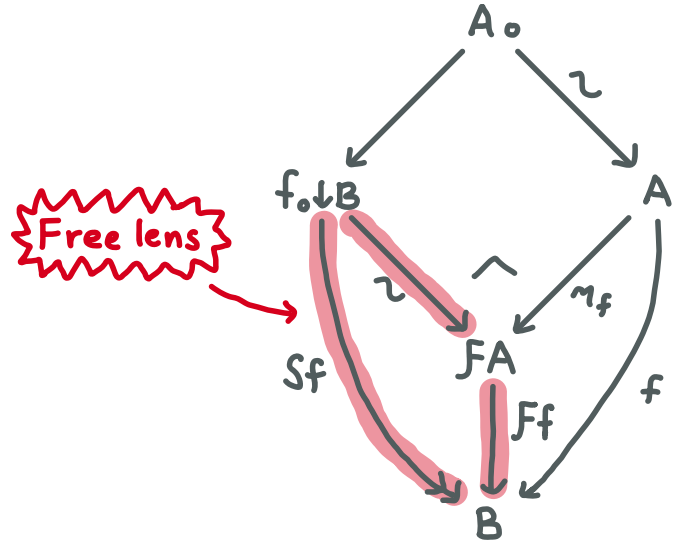
- objects are lenses with codomain B ;
- morphisms are functors which make the following diagram commute:



i.e. functors h that preserve the chosen lifts:
 $h\psi(a,u) = \gamma(ha,u)$

STEP 1: Construct the left adjoint $F \dashv U$

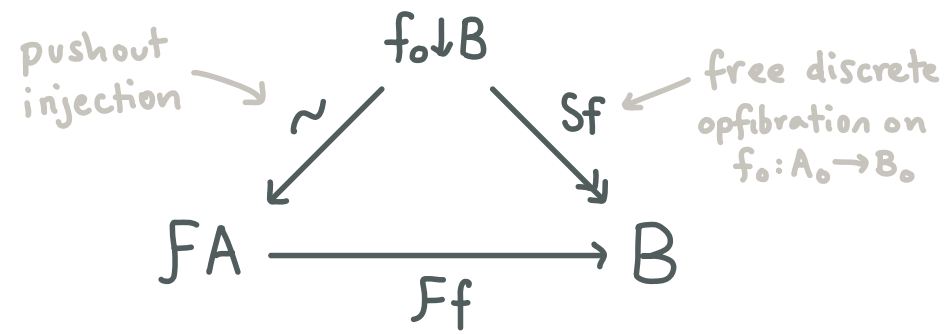
Proposition: The **free lens** on a functor $f: A \rightarrow B$ is constructed via the pushout:



Garner (2019)

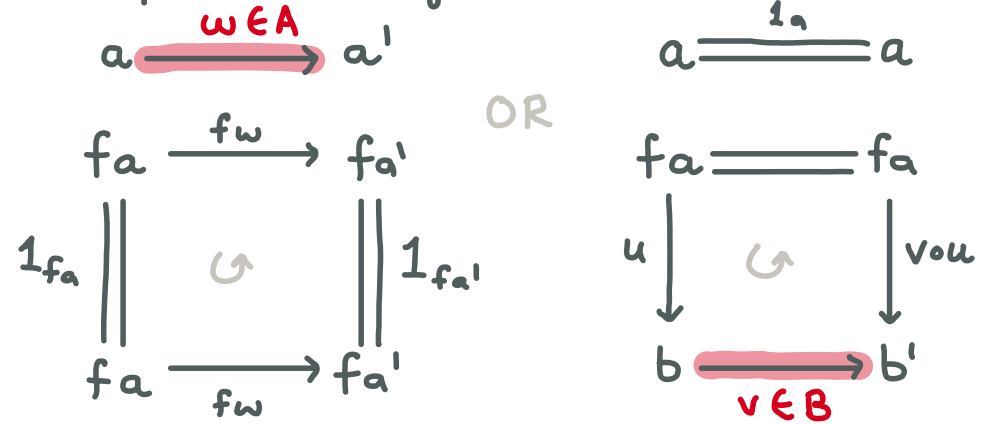
This describes a functor F which is left adjoint to U .

A CLOSER LOOK AT THE FREE LENS



The pushout FA is the category whose:

- objects are pairs $(a \in A, u: fa \rightarrow b \in B)$
- morphisms are generated by two kinds:



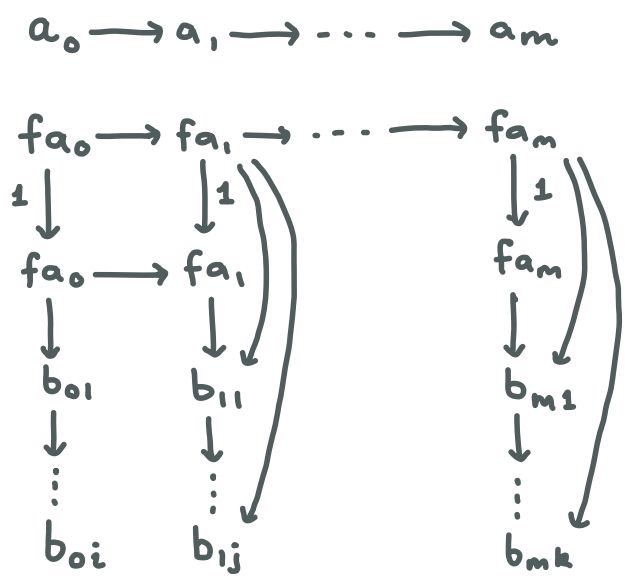
The pushout requires that:

$$1_a: (a, 1_{fa}) \longrightarrow (a, 1_{fa}) \in A$$

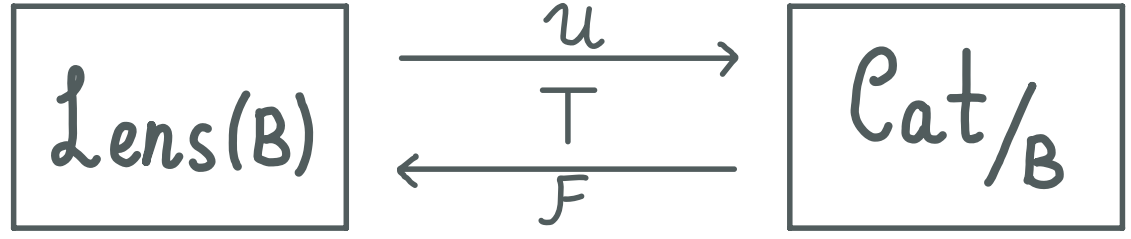
$$\sim$$

$$1_{fa}: (a, 1_{fa}) \longrightarrow (a, 1_{fa}) \in B$$

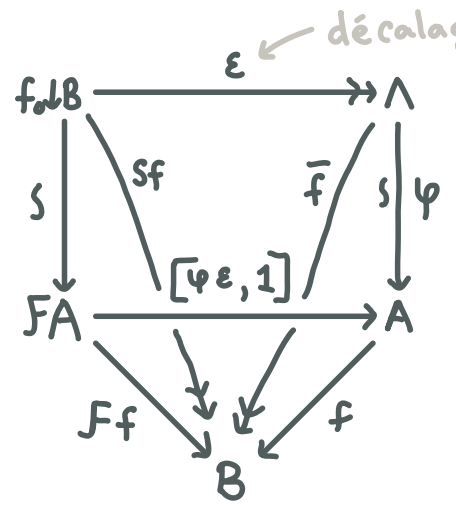
The category FA looks something like:



THE FREE-FORGETFUL ADJUNCTION FOR LENSES



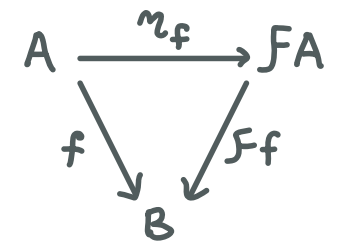
The component of the **counit** at a lens (f, φ) is induced by the counit from the adjunction for the free discrete opfibration:



ϵ ← décalage of Λ

The counit takes $(a, 1_{fa}) \xrightarrow{\omega} (a', 1_{fa'})$ to $w: a \rightarrow a'$ and $(a, u) \xrightarrow{v} (a, vou)$ to the chosen lift $p(a, u) \xrightarrow{\varphi(p(a, u), v)} p(a, vou)$

The component of the **unit** at a functor $f: A \rightarrow B$ is given by the pushout injection:



The functor M_f takes $w: a \rightarrow a'$ to the morphism $w: (a, 1_{fa}) \rightarrow (a', 1_{fa'})$.

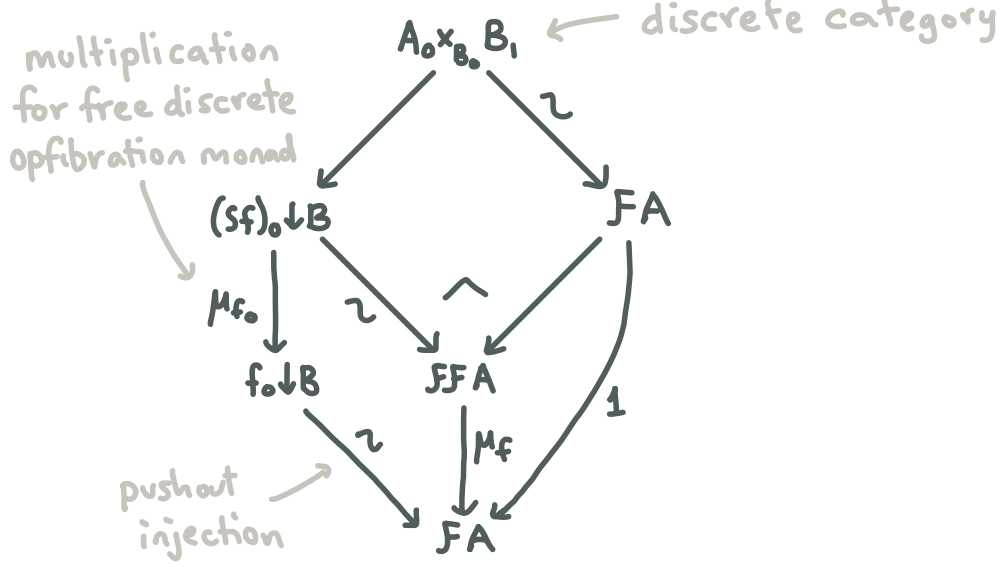
One can show that the triangle identities for an adjunction hold.

ALGEBRAS FOR THE INDUCED MONAD UF

Let UF be the induced monad on Cat/B which has assignment on objects given by:

$$A \xrightarrow{f} B \longmapsto FA \xrightarrow{Ff} B$$

The multiplication for the monad is given by:



An algebra for the monad UF is a pair:

$$\left(\begin{array}{ccc} A & FA & A \\ f \downarrow & Ff \searrow & \swarrow f \\ B & & \end{array} \right) \text{ s.t. } \begin{array}{ccc} & & \hat{p} \\ & & \downarrow \\ & & A \end{array}$$

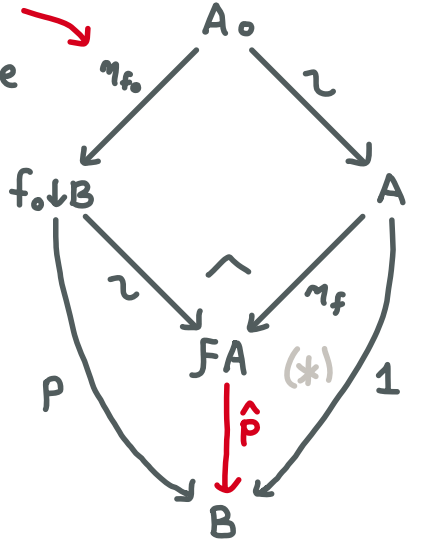
$$\hat{p} \circ \mu_f = \hat{p} \circ F(\hat{p})$$

&

By the **universal property** of the pushout, this is the same as an algebra for the semi-monad (S, μ) :

$$\left(\begin{array}{ccc} A & f_0 \downarrow B & A \\ f \downarrow & Sf \searrow & \swarrow f \\ B & & \end{array} \right)$$

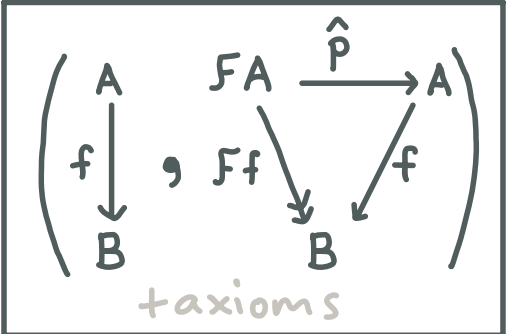
+ axioms



EQUIVALENT PRESENTATIONS OF LENSES

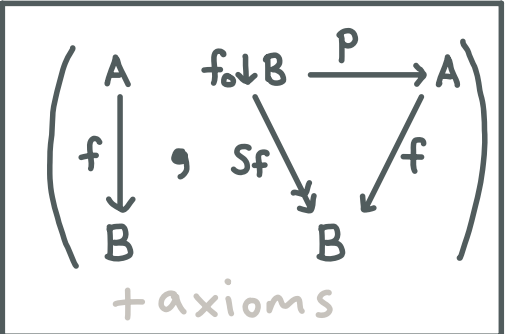
STEP 2: Prove the equivalence $(\text{Cat}/_B)^{\text{uF}} \simeq \text{Lens}(B)$

Algebras for a monad



Garner (2019)

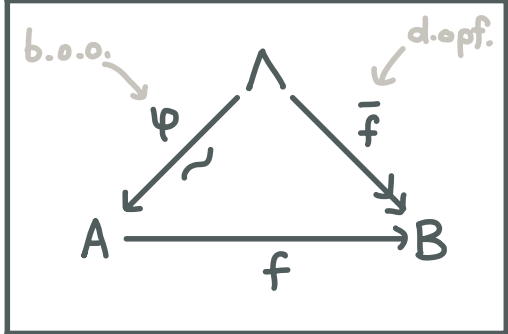
previous slide



Johnson, Rosebrugh, Wood (2013)

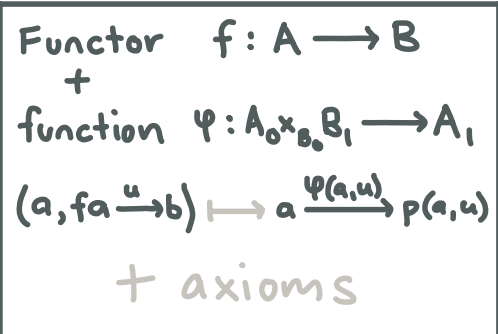
Algebras for a semi-monad

Functor/cofunctor pair



Ahman, Uustalu (2017)

C. (2018)



Diskin, Xiong, Czarnecki (2011)

Equational definition

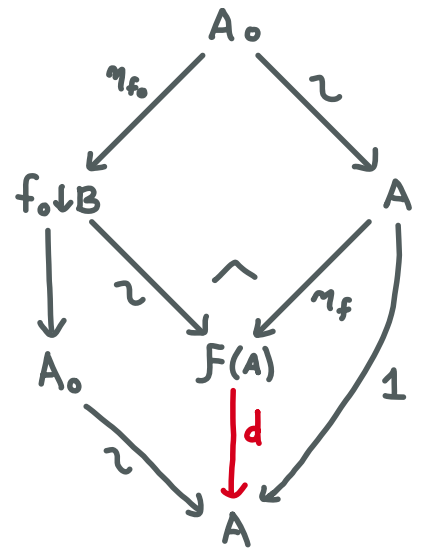


A DOUBLE CATEGORY PERSPECTIVE

Given an algebra (f, \hat{p}) for the monad $\mathcal{U}F$, there is a double category:

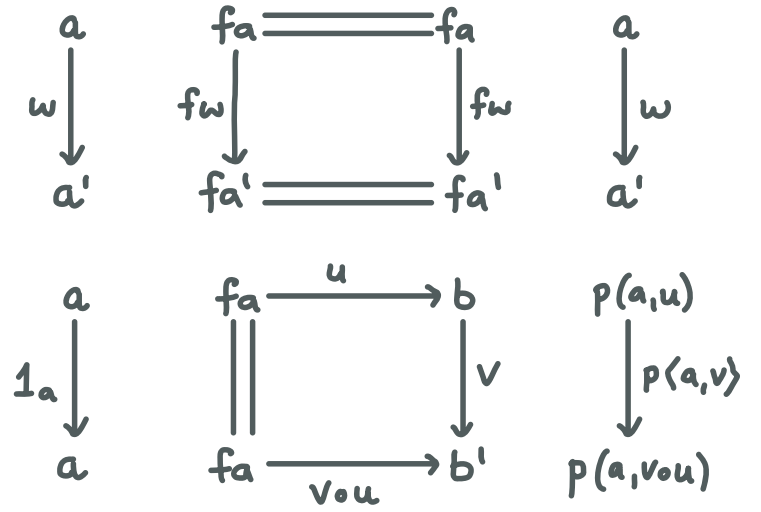
$$FFA \xrightarrow{M_f} FA \begin{array}{c} \xrightarrow{\hat{p}} \\ \xleftarrow{\eta_f} \\ \xrightarrow{d} \end{array} A$$

The domain map d is defined by:



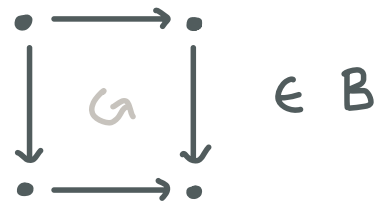
Explicitly, the double category has:

- objects $a \in A$
- vertical morphisms $w: a \rightarrow a' \in A$
- horizontal morphisms $(a \in A, u: fa \rightarrow b \in B)$
- 2-cells are certain commutative squares in B :

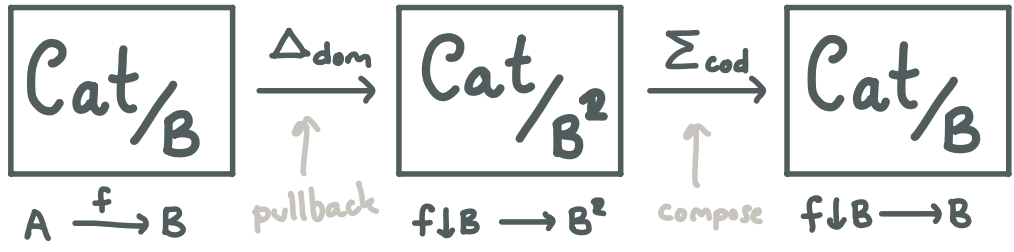
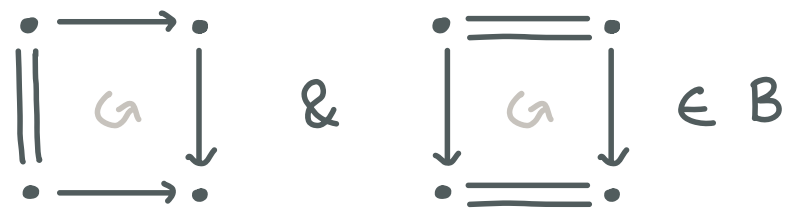


COMPARING SPLIT OPFIBRATIONS AND LENSES VIA DOUBLE CATS.

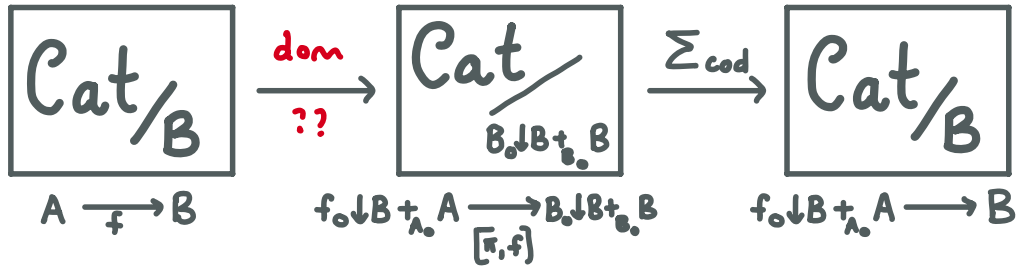
The **double category of squares** $Sq(B)$ for a category B has 2-cells given by all commutative squares in B :



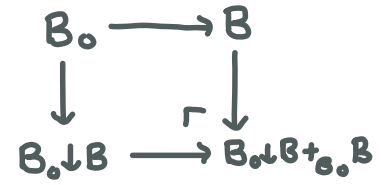
However, we could restrict the 2-cells. The **"double category of triangles"** $Tri(B)$ for a category B has the same objects and vertical/horizontal morphisms as $Sq(B)$, but 2-cells are generated by commutative squares:



The double category of squares induces a monad above whose algebras are split opfibrations.



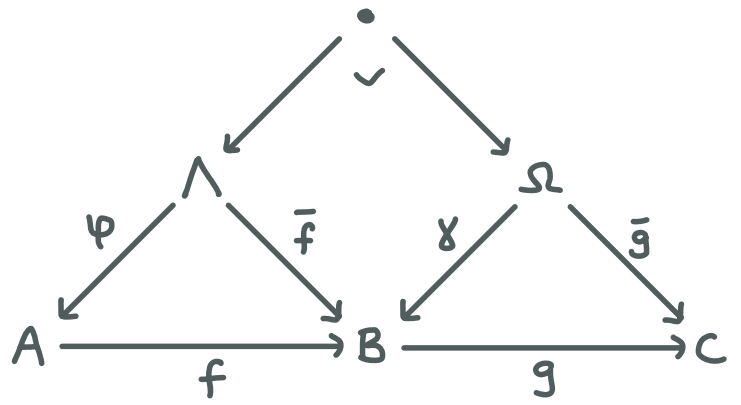
The double category of triangles also induces a monad whose algebras are lenses, but is more complicated...



PROBLEM: UNDERSTANDING PULLBACKS OF LENSES

There is a category $\mathcal{L}ens$ whose:

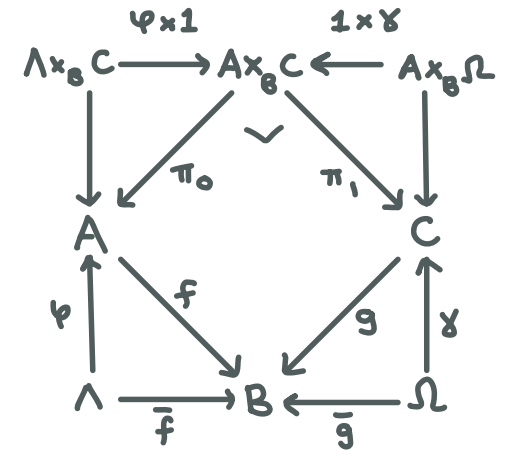
- objects are small categories,
- morphisms are lenses,
- composition is given by:



Motivated by the study of symmetric lenses, we would like to construct a bicategory $Span(\mathcal{L}ens)$... however $\mathcal{L}ens$ doesn't have all pullbacks!

Equivalently, for a small category B , the slice category $\mathcal{L}ens/B$ may not have products.

Given a cospan of lenses, we can construct a canonical cone...

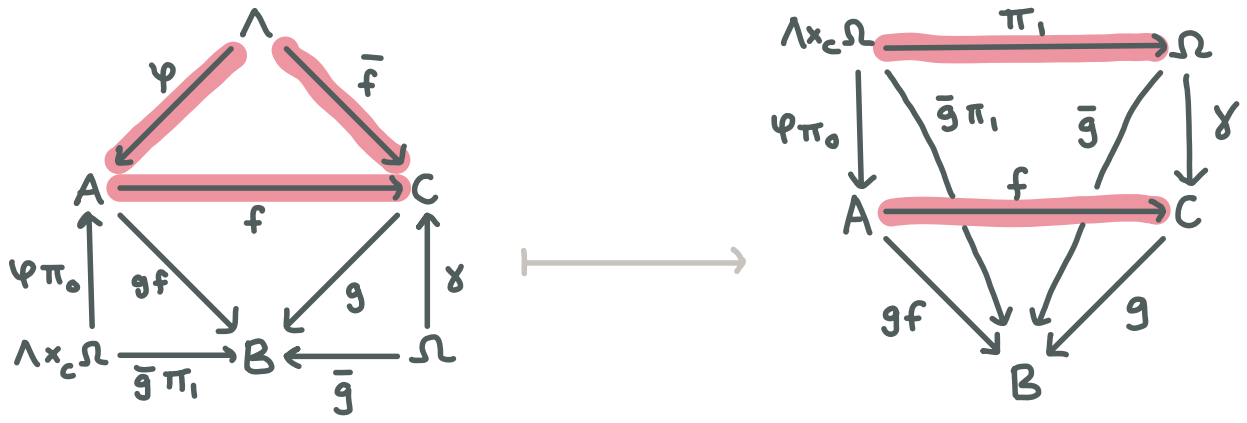


This defines a semi-cartesian monoidal product on $\mathcal{L}ens/B$.

Not enough morphisms

But the universal property fails.
Can this be fixed?

PRODUCTS IN THE CATEGORY OF ALGEBRAS



Semi-cartesian monoidal category

$Lens/B$

bijection-on-objects
strong monoidal

$Lens(B)$

cartesian monoidal category

strong monoidal

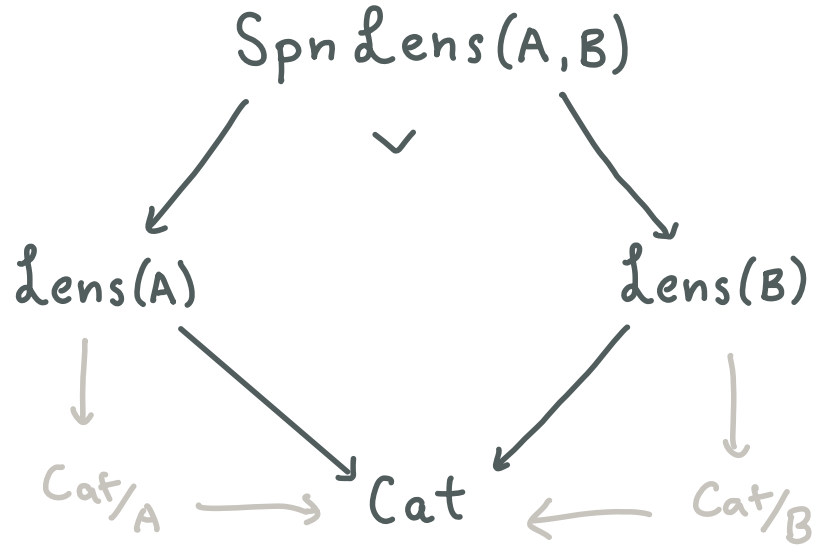
Cat/B

monadic functors create limits

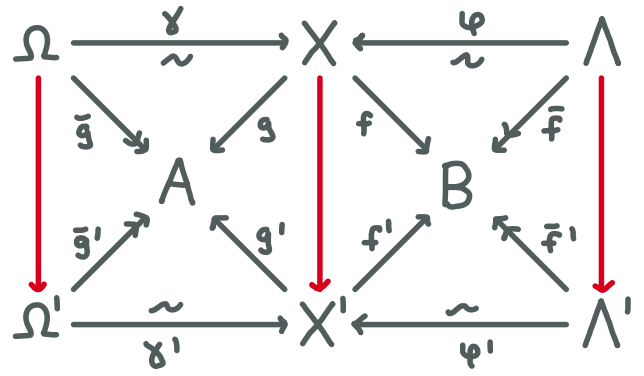
cartesian monoidal category

THE BICATEGORY OF SPANS OF LENSES

The bicategory of spans of lenses
SpnLens has objects given by small
categories, and homs constructed by
the pullback:



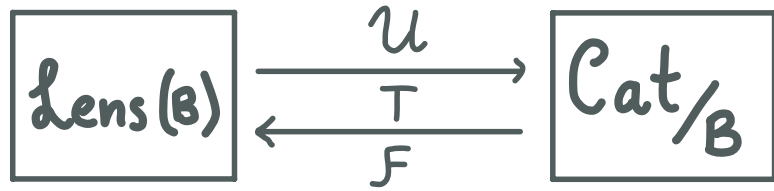
In other words, morphisms are spans
of lenses, and 2-cells are diagrams:



Horizontal composition is well-defined,
by taking products in Lens(B) whose
projections are equipped with a lens
structure via the strong monoidal functor.

$$\text{Lens}/_B \longrightarrow \text{Lens}(B)$$

SUMMARY AND FURTHER QUESTIONS



- We showed that the forgetful functor from the category of lenses over B and lift-preserving functors is monadic.
- This improves a result in the literature, where lenses were equivalent to algebras for a semi-monad.
- Since \mathcal{U} creates limits, $\text{Lens}(B)$ has products, which can be used to construct a bicategory Spn Lens whose morphisms are spans of lenses.

- The category $\text{SOpf}(B)$ of split opfibrations over B and cleavage-preserving functors is a full subcategory of $\text{Lens}(B)$.
- The category $\text{DOpf}(B)$ of discrete opfibrations over B is a coreflective subcategory of $\text{Lens}(B)$.
- These results generalise to $\text{Cat}(\mathcal{E})$ for suitable \mathcal{E} ; do they also work for more general (2-) categories?
- Does the Kleisli category for $\mathcal{U}F$ produce something interesting?
- Is the functor \mathcal{U} also comonadic?
- Link with fusion for multilenses?

ANOTHER CONSTRUCTION OF Lens(B)

