THE GROTHENDIECK CONSTRUCTION FOR DELTA LENSES

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ABSTRACT. Delta lenses are functors equipped with a functorial choice of lifts, generalising the notion of split opfibration. In this paper, we introduce a Grothendieck construction (or category of elements) for delta lenses, thus demonstrating a correspondence between delta lenses and certain lax double functors into the double category of sets, functions, and split multivalued functions. We show that the double category of split multivalued functions admits a universal property as a certain kind of limit, and inherits many nice properties from the double category of spans. Applications of this construction to the theory of delta lenses are explored in detail.

1. INTRODUCTION

Delta lenses were introduced in 2011 by Diskin, Xiong, and Czarnecki [22] as an algebraic framework for bidirectional transformations [1, 18]. In 2013, Johnson and Rosebrugh [33] showed that every split opfibration has an underlying delta lens, thus initiating an ongoing study of delta lenses using category theory. The Grothendieck construction [32] is widely recognised as one of the most important concepts in category theory, and establishes a correspondence between split opfibrations and functors into the category Cat of (small) categories and functors. Given the close connection between delta lenses and split opfibrations, it is natural to ask: is there a Grothendieck construction for delta lenses?

The central contribution of this work is a correspondence, as displayed below, between delta lenses and certain lax double functors into the double category of sets, functions, and split multivalued functions.

delta lenses $\mathcal{A} \to \mathcal{B} \quad \iff \quad \text{lax double functors } \mathbb{L}o(\mathcal{B}) \to \mathbb{S}Mult$

This correspondence extends to an equivalence of categories (Theorem 35).

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1.1. **Overview of the main result.** We now provide a concise overview of the main result and introduce the necessary technical details to understand it.

A delta lens is a pair (f, φ) consisting of a functor $f: \mathcal{A} \to \mathcal{B}$ equipped with a lifting operation that provides, for each object $a \in \mathcal{A}$ and morphism $u: fa \to b$ in \mathcal{B} , a morphism $\varphi(a, u): a \to a'$ in \mathcal{A} , called a *chosen lift*, such that $f\varphi(a, u) = u$. The lifting operation is required to preserve identities and composition of morphisms. Let \mathcal{L} ens denote the category whose objects are delta lenses, and whose morphisms $(h,k): (f,\varphi) \to (g,\psi)$ are pairs of functors that commute with the underlying functors and preserve the chosen lifts; that is, kf = gh and $h\varphi(a, u) = \psi(ha, ku)$.

A split multivalued function $A \rightarrow B$ is a diagram of functions as below.

$$A \xrightarrow[]{\sigma}{} X \xrightarrow{t} B \qquad s \circ \sigma = 1_A \tag{1}$$

A lax double functor $F \colon Lo(\mathcal{B}) \to SMult$, where \mathcal{B} is a category, is called an *indexed* split multivalued function¹. We can unpack this data without mentioning double category theory. An indexed split multivalued function (\mathcal{B}, F) consists of a category \mathcal{B} , a set F(x) for each object $x \in \mathcal{B}$, and a split multivalued function

$$F(x) \xrightarrow[]{\sigma_u}{\underset{\underset{s_u}{\leftarrow}}{\leftarrow}} F(u) \xrightarrow[]{t_u}{\leftarrow} F(y) \qquad \qquad s_u \circ \sigma_u = 1_{F(x)}$$

for each morphism $u: x \to y$ in \mathcal{B} . There is also a function $\mu_{(u,v)}: F(u,v) \to F(v \circ u)$ for each composable pair $(u: x \to y, v: y \to z)$ in \mathcal{B} , where F(u,v) is the pullback of t_u and s_v . This data is required to satisfy several natural axioms.

A morphism $(k,\theta): (\mathfrak{B},F) \to (\mathfrak{D},G)$ of indexed split multivalued functions consists of a functor $k: \mathfrak{B} \to \mathfrak{D}$, a function $\theta_x: F(x) \to G(kx)$ for each object $x \in \mathfrak{B}$, and a function $\theta_u: F(u) \to G(ku)$ for each morphism $u: x \to y$ in \mathfrak{B} . This data also satisfies several natural axioms. Let $\operatorname{Jdx}(\operatorname{Cat}, \operatorname{SMult})$ denote the category of indexed split multivalued functions and morphisms between them.

Theorem. There is an equivalence of categories

$$\mathcal{E}\ell\colon \mathrm{Jdx}(\mathrm{Cat},\mathrm{SMult}) \xrightarrow{\simeq} \mathcal{L}\mathrm{ens}$$

between the categories of indexed split multivalued functions and delta lenses.

The functor $\mathcal{E}\ell$: $\operatorname{Jdx}(\operatorname{Cat}, \operatorname{SMult}) \to \mathcal{L}$ ens describes the *Grothendieck construction* for delta lenses, or alternatively, the *category of elements* of an indexed split multivalued function.

¹To be more precise, it should be called a *covariant lax* Cat-*indexed split multivalued function*.

1.2. A proof sketch. It is not too difficult to see why this result should be true.

Given an indexed split multivalued function (\mathcal{B}, F) , we may construct a category $\mathcal{E}\ell(\mathcal{B}, F)$ whose objects are pairs $(x \in \mathcal{B}, a \in F(x))$ and morphisms are pairs $(u: x \to y \in \mathcal{B}, \alpha \in F(u)): (x, s_u(\alpha)) \to (y, t_u(\alpha))$. The identity on an object (x, a) is the morphism $(1_x, \sigma_{1_x}(a))$, and composition of morphisms is determined by the functions $\mu_{(u,v)}$. There is a functor $\pi: \mathcal{E}\ell(\mathcal{B}, F) \to \mathcal{B}$ given by projection in the first component, and this admits a delta lens structure, since for each object $(x, a) \in \mathcal{E}\ell(\mathcal{B}, F)$ and morphism $u: x \to y$ in \mathcal{B} , there is a chosen lift $(u, \sigma_u(a)): (x, a) \to (y, t_u \sigma_u(a)).$

Conversely, given a delta lens $(f, \varphi) \colon \mathcal{A} \to \mathcal{B}$, we may construct an indexed split multivalued function (\mathcal{B}, F) consisting of the fibre sets $F(x) = \{a \in \mathcal{A} \mid fa = x\}$ and $F(u) = \{\alpha \colon a \to a' \mid f\alpha = u\}$ for each object $x \in \mathcal{B}$ and morphism $u \colon x \to y$ in \mathcal{B} . The functions s_u, t_u and $\mu_{(u,v)}$ are given by the source, target, and composition operations of \mathcal{A} , while $\sigma_u \colon F(x) \to F(u)$ is determined by the lifting operation $a \mapsto \varphi(a, u)$ of the delta lens.

The key insight here is that the lifting operation φ of a delta lens is the same as a choice of section σ_u of the "source leg" of an indexed span $F(x) \leftarrow F(u) \rightarrow F(y)$, such that these choices respect the identities and composition in \mathcal{B} . If we put aside this data, we find the well-known correspondence between functors and certain lax functors into the double category of sets, functions, and spans [5, 43, 45].

$$\operatorname{Jdx}(\operatorname{Cat},\operatorname{Span})\simeq\operatorname{Cat}^2$$

Rather than proceeding with our proof sketch to establish the equivalence between the categories of indexed split multivalued functions and delta lenses, which would involve checking many tedious details, we instead leverage the equivalence above construct an abstract proof. The focus is to develop a clear understanding of the Grothendieck construction for delta lenses using double category theory.

1.3. **Outline and contributions.** We now provide an outline of the paper and details of the significant contributions and results.

In Section 2, we construct an equivalence $\mathcal{L}ens \simeq \mathcal{D}iaLens$ between the categories of delta lenses and diagrammatic delta lenses. A *diagrammatic delta lens* (f, p) is simply a commuting diagram of functors

$$\begin{array}{ccc} & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\$$

such that p is *identity-on-objects* and fp is a *discrete opfibration*. The correspondence between delta lenses and diagrammatic delta lenses was introduced by the author in 2019 (see [9, Corollary 20] and [10, Proposition 3.7/3.8]), however an explicit statement of the equivalence did not appear until much later [15, Proposition 2.15]. Unfortunately, despite the importance and usefulness of this result, a detailed proof in the literature has remained absent; we now fill this gap in Theorem 12.

In Section 3, we introduce the double category SMult of sets, functions, and split multivalued functions. Closely related to SMult is the double category Sq(Set) whose cells are commutative squares of functions, and the double category Span of sets, functions, and spans. We show that there is a diagram of double functors



where K_* sends a function $f: A \to B$ to its companion span $(1_A, A, f): A \to B$, and a split multivalued function (1) is sent to its underlying function $t \circ \sigma: A \to B$ by U_1 and its underlying span $(s, X, t): A \to B$ by U_2 . A globular transformation between double functors is defined as analogously to that of an *icon* between morphisms of bicategories [37], and $\sigma: K_*U_1 \to U_2$ is a globular transformation whose component at a split multivalued function (1) is given by the following cell in Span.

$$\begin{array}{ccc} A \xleftarrow{1_A} & A \xrightarrow{t\sigma} B \\ 1_A & \downarrow \sigma & \downarrow 1_B \\ A \xleftarrow{s} X \xrightarrow{t} B \end{array}$$

The main contribution in Section 3 is characterising SMult has a certain kind of limit — the terminal globular cone over K_* — and demonstrate that it has both a 1-dimensional and 2-dimensional universal property (Theorem 21 and Theorem 22). As a corollary, we obtain an isomorphism $\operatorname{GlobCone}(\operatorname{Dbl}, K_*) \cong \operatorname{Idx}(\operatorname{Dbl}, \operatorname{SMult})$ between categories whose objects are globular cones over $K_* \colon \operatorname{Sq}(\operatorname{Set}) \to \operatorname{Span}$ and lax double functors into SMult, respectively.

There is a fully faithful functor $\mathbb{L}o: \mathbb{C}at \to \mathcal{D}bl$ which constructs, for each category \mathcal{B} , a strict double category $\mathbb{L}o(\mathcal{B})$ whose objects and loose morphisms are the objects and morphisms of \mathcal{B} , and whose tight morphisms and cells are identities. Using this embedding we can construct an isomorphism $\mathcal{G}bcone(\mathbb{C}at, K_*) \cong \mathcal{I}dx(\mathbb{C}at, SMult)$,

yielding a bijection between globular cones and lax double functors as follows.



In Section 4, we demonstrate a correspondence between globular cones as above and diagrammatic delta lenses, then assemble a series of equivalences to prove our main theorem. As recalled in Subsection 1.2, there is a correspondence between functors into \mathcal{B} and lax double functors $\mathbb{Lo}(\mathcal{B}) \to \mathbb{S}pan$. We can see that lax double functors $\mathbb{Lo}(\mathcal{B}) \to \mathbb{S}pan$ which factor through $K_* \colon \mathbb{Sq}(\text{Set}) \to \mathbb{S}pan$ are the same as functors $\mathcal{B} \to \mathbb{Set}$. This observation together with the classical "category of elements" construction yields an equivalence $\mathbb{Jdx}(\mathbb{Cat}, \mathbb{Sq}(\text{Set})) \simeq \mathcal{D}Opf$ between categories whose objects are (strict) double functors $\mathbb{Lo}(\mathcal{B}) \to \mathbb{Sq}(\text{Set})$ and discrete opfibrations, respectively. In Lemma 33 we show a correspondence between *identity*on-objects morphisms in \mathbb{Cat}/\mathcal{B} and globular transformations between lax double functors $\mathbb{Lo}(\mathcal{B}) \to \mathbb{Span}$. Using these facts, we obtain the desired equivalence $\mathcal{D}iaLens \simeq \mathrm{GlobCone}(\mathbb{Cat}, K_*)$ in Theorem 34.

The central contribution of Section 4 and the main result of the paper, Theorem 35, follows immediately from the established sequence of equivalences.

 $\mathcal{L}ens \simeq \mathcal{D}iaLens \simeq \mathcal{G}lobCone(Cat, K_*) \cong \mathcal{I}dx(Cat, SMult)$

In Section 5, we examine several consequences, examples, and applications of our main result to the theory of delta lenses, including:

- Using adjunctions with SMult to recover adjunctions with Lens;
- Characterising split opfibrations as indexed split multivalued functions;
- Showing that delta lenses correspond to normal lax double functors into the double category Mod(SMult) of bimodules;
- Examining the pullback and pushforward of delta lenses;
- Characterising *retrofunctors* as certain indexed split multivalued functions;
- Proving that the functor sending an indexed split multivalued function (\mathcal{B}, F) to its category of elements $\mathcal{E}\ell(\mathcal{B}, F)$ is a left adjoint;
- Exhibiting monoidal structures on Lens, and the fibres of the codomain functor cod: Lens → Cat, as arising from monoidal structures on SMult;
- Characterising natural classes of delta lenses via factorisations through sub-double categories of SMult.

1.4. Context and related work. This work sits at the intersection of research on delta lenses, Grothendieck constructions, and double categories.

The notion of delta lens was preceded by the concept of state-based lens, which consists of a pair of functions $A \to B$ and $A \times B \to A$ satisfying three axioms [25]. State-based lenses were later shown to be the same as delta lenses between codiscrete categories [35]. In their seminal paper [33], Johnson and Rosebrugh showed that delta lenses capture the underlying structure of split opfibrations, and characterised them as certain algebras for a semi-monad (an endofunctor T with an associative multiplication $\mu: T^2 \Rightarrow T$) on the slice category Cat/B, connecting with the characterisations of state-based lenses [36] and split opfibrations [48] as algebras.

Delta lenses have typically been treated as morphisms between categories due to their applications in computer science. The properties of the category of (small) categories and delta lenses have been studied in several places [8, 20, 21], although these are usually less nice than in Cat. Ahman and Uustalu [4] characterised delta lenses as a compatible functor and retrofunctor pair. Retrofunctors are another natural notion of morphism between categories, introduced under the name cofunctor by Aguiar [2], and arise both as morphisms of monads in Span [44] and morphisms of polynomial comonads on Set [3, 47]. The link between delta lenses and retrofunctors led to their representation as certain commutative diagrams in Cat, and their relationship with split opfibrations in internal category theory [9, 10].

The treatment of delta lenses as *objects* in a category \mathcal{L} ens has also proved to be important. This approach is necessary for the characterisation of delta lenses as retrofunctors with additional *coalgebraic structure* [12], again linking with similar results characterising state-based lenses [26] and split opfibrations [24] as coalgebras for a comonad. Delta lenses were also characterised as algebras for a monad [14] on Cat², refining the previous result of Johnson and Rosebrugh, and reaffirming the view that they are functors with additional *algebraic structure*.

Ultimately, delta lenses should be considered as both objects and morphisms, an approach supported by studying the double category of categories, functors, and delta lenses [13]. Like split opfibrations [31], delta lenses are not just algebras for a monad, but the right class of an *algebraic weak factorisation system* [15], naturally inducing double category in which to study them [6].

There have been numerous variants of the Grothendieck construction introduced for different purposes [7, 16, 39, 40, 41, 42], and this paper contributes to the recent interest in double-categorical generalisations of this concept.

2. Delta lenses and diagrammatic delta lenses

In this section, we recall the definitions of delta lens, discrete opfibration, and diagrammatic delta lens. Our main result is an equivalence $\mathcal{L}ens \simeq \mathcal{D}iaLens$ between the categories of delta lenses and diagrammatic delta lenses (Theorem 12). We recall the characterisation of split opfibrations as delta lenses, or diagrammatic delta lenses, with a certain property.

2.1. Delta lenses and discrete opfibrations. Recall that a discrete opfibration is a functor $f: \mathcal{A} \to \mathcal{B}$ such that, for each object $a \in \mathcal{A}$ and morphism $u: fa \to b$ in \mathcal{B} , there exists a unique morphism $w: a \to a'$ in \mathcal{A} such that fw = u. Delta lenses are a generalisation of discrete opfibrations in which a functor is equipped with a functorial choice of lifts without the requirement that these lifts are unique.

Definition 1. A delta lens $(f, \varphi) \colon \mathcal{A} \to \mathcal{B}$ is a functor $f \colon \mathcal{A} \to \mathcal{B}$ equipped with a *lifting operation*

$$(a \in \mathcal{A}, u: fa \to b \in \mathcal{B}) \quad \longmapsto \quad \varphi(a, u): a \to a' \in \mathcal{A}$$

such that the following axioms hold:

$$(\mathrm{DL1}) \quad f\varphi(a,u) = u_{2}$$

(DL2)
$$\varphi(a, 1_{fa}) = 1_a;$$

(DL3)
$$\varphi(a, v \circ u) = \varphi(a', v) \circ \varphi(a, u)$$

The first axiom (DL1) of a delta lens (f, φ) allows us to refer to the morphisms $\varphi(a, u)$ as chosen lifts with respect to the functor f. The second axiom (DL2) states that the lifting operation preserves identities, and the third axiom (DL3) states that it preserves composition. Using the axioms of a delta lens, one can prove the following simple result.

Lemma 2. Given a delta lens $(f, \varphi) \colon \mathcal{A} \to \mathcal{B}$, there is a wide subcategory $\Lambda(f, \varphi)$ of \mathcal{A} whose morphisms are the chosen lifts. By definition, the subcategory inclusion $\overline{\varphi} \colon \Lambda(f, \varphi) \to \mathcal{A}$ is faithful and identity-on-objects. Furthermore, the composite functor $f \overline{\varphi} \colon \Lambda(f, \varphi) \to \mathcal{B}$ is a discrete opfibration.

This lemma clarifies the exact relationship between delta lenses and discrete opfibrations. Crucially, Lemma 2 admits a converse which allows us to construct delta lenses from certain commutative triangles of functors. We first examine the special case of discrete opfibrations. **Example 3.** Each discrete opfibration $f: \mathcal{A} \to \mathcal{B}$ admits a unique delta lens structure which we denote $(f, \Upsilon_f): \mathcal{A} \to \mathcal{B}$. The lifting operation Υ_f is well-defined by the uniqueness of lifts. Furthermore, since every morphism in the domain of a discrete opfibration is chosen lift, it follows that $\Lambda(f, \Upsilon_f) = \mathcal{A}$ and that $\overline{\Upsilon}_f = 1_{\mathcal{A}}$. Given a delta lens $(g, \psi): \mathcal{A} \to \mathcal{B}$, the underlying functor g is a discrete opfibration if $\psi(a, gw) = w$ holds for each morphism $w: a \to a'$ in \mathcal{A} ; in this case, $\psi = \Upsilon_g$.

Proposition 4. Given a commutative triangle of functors

$$\begin{array}{cccc} & & & & \\ & & & \\ & & & \\ f_p & & \swarrow & f \\ & & & \\ & & & \\ & & & \\ \end{array}$$
(2)

such that p is identity-on-objects and fp is a discrete opfibration, there is a delta lens $(f, \varphi) \colon \mathcal{A} \to \mathcal{B}$ and a unique isomorphism $j \colon \mathcal{X} \cong \Lambda(f, \varphi)$ such that $\overline{\varphi}j = p$.

Proof. Since $fp: \mathfrak{X} \to \mathfrak{B}$ is a discrete opfibration, it admits a unique delta lens structure $(fp, \Upsilon_{fp}): \mathfrak{X} \to \mathfrak{B}$ by Example 3. Define a delta lens $(f, \varphi): \mathcal{A} \to \mathcal{B}$ whose lifting operation is given by $\varphi(a, u) = p\Upsilon_{fp}(a, u)$; this is well-defined by commutativity of (2) and functoriality of p. By Lemma 2, the category $\Lambda(f, \varphi)$ has the same objects as \mathcal{A} , thus the same objects as \mathfrak{X} . Recalling that every morphism in the domain of the discrete opfibration fp is a chosen lift, there is an identity-on-objects functor $j: \mathfrak{X} \to \Lambda(f, \varphi)$ which sends $\Upsilon_{fp}(a, u)$ to $\varphi(a, u)$. The functor j is clearly an isomorphism, since p is faithful by commutativity of (2), and the equation $\overline{\varphi}j = p$ is satisfied by construction. \Box

Together Lemma 2 and Proposition 4 tell us that delta lenses are *the same as* certain commutative diagrams of functors. This idea forms the cornerstone of many results concerning delta lenses, and is extended to an equivalence of categories in the following subsection.

2.2. The category of delta lenses. Delta lenses may be considered as both objects and as morphisms, which suggests double categories are a suitable framework in which to study them [13]. In this paper, we focus on the category whose *objects* are delta lenses, which we now recall.

Definition 5. Let \mathcal{L} ens be the category whose objects are delta lenses, and whose morphisms $(h, k): (f, \varphi) \to (g, \psi)$, as depicted below, consist of a pair of functors

such that kf = gh and $h\varphi(a, u) = \psi(ha, ku)$.

$$\begin{array}{ccc} \mathcal{A} & \stackrel{h}{\longrightarrow} & \mathcal{C} \\ (f,\varphi) & & \downarrow^{(g,\psi)} \\ \mathcal{B} & \stackrel{h}{\longrightarrow} & \mathcal{D} \end{array}$$

$$(3)$$

There is a faithful functor $\mathcal{L}ens \to \operatorname{Cat}^2$ that sends each delta lens to its underlying functor. Thus, a morphism of delta lenses may be understood as a commutative square of functors that preserves the chosen lifts. Since each delta lens determines a wide subcategory of chosen lifts (Lemma 2), we obtain the following result.

Lemma 6. Given a morphism (3) of delta lenses, there exists a unique functor $\Lambda(h, k)$ such that the following diagram commutes.

$$\begin{array}{ccc} \Lambda(f,\varphi) \xrightarrow{\Lambda(h,k)} \Lambda(g,\psi) \\ \overline{\varphi} & & & \downarrow \overline{\psi} \\ \mathcal{A} \xrightarrow{h} & \mathcal{B} \end{array} \end{array}$$

$$(4)$$

Proof. Commutativity required that $\Lambda(h, k)$ has an assignment on objects $a \mapsto ha$ and an assignment on morphisms $\varphi(a, u) \mapsto \psi(ha, ku)$.

Let \mathcal{D} Opf be the full subcategory of Cat^2 whose objects are discrete opfibrations. We now demonstrate that the relationship between discrete opfibrations and delta lenses extends to an adjunction.

Proposition 7. There is a coreflective adjunction

$$\mathcal{D}\mathrm{Opf} \xrightarrow[\Upsilon]{} \mathcal{L}\mathrm{ens}$$

between the category of discrete opfibrations and the category of delta lenses.

Proof. The functor $\Upsilon \colon \mathcal{D}\mathrm{Opf} \to \mathcal{L}\mathrm{ens}$ sends each discrete opfibration f to its unique delta lens structure (f, Υ_f) by Example 3; it is clearly fully faithful. The functor $\Lambda \colon \mathcal{L}\mathrm{ens} \to \mathcal{D}\mathrm{Opf}$ sends each delta lens (f, φ) to the discrete opfibration $f \overline{\varphi}$ as described in Lemma 2 and Lemma 6.

Given a discrete opfibration $f: \mathcal{A} \to \mathcal{B}$, the wide subcategory of chosen lifts is $\Lambda(f, \Upsilon_f) = \mathcal{A}$, since $\Upsilon_f(a, fw) = w$ for each morphism $w: a \to a'$ in \mathcal{A} . Therefore, the unit of the adjunction is the identity natural transformation. The counit

component of the adjunction at a delta lens $(f, \varphi) \colon \mathcal{A} \to \mathcal{B}$ is given by the following morphism of delta lenses.

$$egin{aligned} & \Lambda(f,arphi) & \stackrel{\overline{arphi}}{\longrightarrow} \mathcal{A} \ & \downarrow^{(f,arphi)} \downarrow & \downarrow^{(f,arphi)} \ & \mathcal{B} & = = \mathcal{B} \end{aligned}$$

Naturality holds by Lemma 6, and the triangle identities are easily verified. \Box

Remark 8. The functor $\Lambda: \mathcal{L}ens \to \mathcal{D}Opf$ admits a fully faithful right adjoint, which is constructed by factorising a discrete opfibration into an identity-on-objects functor followed by the fully faithful functor, the latter of which admits a canonical delta lens structure. The functor $\Upsilon: \mathcal{D}Opf \to \mathcal{L}ens$ admits a left adjoint which is constructed by factorising the underlying functor of a delta lens into an initial functor followed by a discrete opfibration.

We want to construct an equivalence of categories between \mathcal{L} ens and a category, whose objects are certain commutative diagrams of functors as in Proposition 4, which we now introduce. The corresponding double category was considered in previous work [15, Section 2].

Definition 9. A diagrammatic delta lens (f, p) is a composable pair of functors $f: \mathcal{A} \to \mathcal{B}$ and $p: \mathfrak{X} \to \mathcal{A}$ such that p is identity-on-objects and $f \circ p$ is a discrete opfibration. Let \mathcal{D} iaLens be the category whose objects are diagrammatic delta lenses, and whose morphisms $(\ell, h, k): (f, p) \to (g, q)$ are triples of functors such that the following diagram commutes.

Note that the functor $\ell: \mathfrak{X} \to \mathcal{Y}$ in a morphism (5) of diagrammatic delta lenses is unique by the properties of identity-on-objects functors and discrete opfibrations. Therefore, there is a faithful functor $\mathcal{D}iaLens \to \operatorname{Cat}^2$ that sends each diagrammatic delta lens (f, p) to f.

Lemma 10. There is a functor $\hat{\Lambda}$: $\mathcal{L}ens \to \mathcal{D}iaLens$ that assigns a delta lens (f, φ) to the diagrammatic delta lens $(f, \overline{\varphi})$.

Proof. The functor $\hat{\Lambda}$ is well-defined on objects by Lemma 2 and on morphisms by Lemma 6. Equivalently, we may invoke naturality of the counit of the adjunction $\Upsilon \dashv \Lambda$ in Proposition 7.

Lemma 11. There is a functor $\hat{\Upsilon}$: DiaLens $\rightarrow \mathcal{L}$ ens that assigns a diagrammatic delta lens (f, p) to the delta lens $(f, p\Upsilon_{fp})$.

Proof. The functor $\hat{\Upsilon}$ is well-defined on objects by Proposition 4. Given a morphism (5) of diagrammatic delta lenses, there is a morphism $(h,k): (f,p\Upsilon_{fp}) \to (g,q\Upsilon_{gq})$ of delta lenses, since kf = gh and, for all $a \in \mathcal{A}$ and $u: fa \to b$ in \mathcal{B} , the equation $hp\Upsilon_{fp}(a, u) = q\ell\Upsilon_{fp}(a, u) = q\Upsilon_{gq}(ha, ku)$ holds by functoriality of Υ . \Box

We are now ready to demonstrate that the category of delta lenses is equivalent to the category of diagrammatic delta lenses; one might call this a "representation theorem" for delta lenses. This equivalence has been used implicitly for years [9] however a detailed proof in the literature remained absent; we now fill this gap.

Theorem 12. There is an equivalence of categories $\mathcal{L}ens \simeq \mathcal{D}iaLens$.

Proof. Given functors $\hat{\Lambda}: \mathcal{L}ens \to \mathcal{D}iaLens$ and $\hat{\Upsilon}: \mathcal{D}iaLens \to \mathcal{L}ens$ defined in Lemma 10 and Lemma 11, respectively, we show that there are natural isomorphisms $\hat{\Upsilon} \circ \hat{\Lambda} \cong 1$ and $\hat{\Lambda} \circ \hat{\Upsilon} \cong 1$. We construct the components of these isomorphisms, omitting the straightforward proof of naturality.

Applying the functor $\hat{\Upsilon} \circ \hat{\Lambda}$ to a delta lens $(f, \varphi) \colon \mathcal{A} \to \mathcal{B}$ we obtain a delta lens $(f, \overline{\varphi} \Upsilon_{f\overline{\varphi}}) \colon \mathcal{A} \to \mathcal{B}$. Since $\Upsilon_{f\overline{\varphi}}(a, u) = \varphi(a, u)$ by construction, and $\overline{\varphi}$ is a wide subcategory inclusion, it follows that $\overline{\varphi} \Upsilon_{f\overline{\varphi}}(a, u) = \varphi(a, u)$ for all $a \in \mathcal{A}$ and $u \colon fa \to b$ in \mathcal{B} . Therefore, we have an equality of functors $\hat{\Upsilon} \circ \hat{\Lambda} = 1$.

Applying the functor $\hat{\Lambda} \circ \hat{\Upsilon}$ to a diagrammatic delta lens (f, p) we obtain a diagrammatic delta lens $(f, \overline{\varphi})$ where $\varphi = \overline{p\Upsilon}_{fp}$. To show that $1 \cong \hat{\Lambda} \circ \hat{\Upsilon}$, we need an isomorphism $j: \mathfrak{X} \cong \Lambda(f, \varphi)$ such that $\overline{\varphi}j = p$. However, this follows immediately from Proposition 4, completing the proof.

2.3. Split opfibrations as delta lenses. Discrete opfibrations are a special case of a more general notion called a split opfibration. Although split opfibrations are typically defined as opfibrations equipped with certain *additional structure*, we instead define them as delta lenses satisfying a certain *property*.

Example 13. A split opfibration is a delta lens $(f, \varphi) \colon \mathcal{A} \to \mathcal{B}$ such that each chosen lift $\varphi(a, u)$ is opeartesian. This means that for each morphism $w \colon a \to a''$

in A and composable pair of morphisms in B such that $fw = v \circ u$, there exists a unique morphism $w': a' \to a''$ in \mathcal{A} such that $w' \circ \varphi(a, u) = w$ and fw' = v.

$$\begin{array}{cccc} \mathcal{A} & & \overbrace{a \xrightarrow{\varphi(a, u)}}^{w} a' \xrightarrow{- \exists ! w'} a'' \\ (f, \varphi) \downarrow & & \vdots & \vdots \\ \mathcal{B} & & fa \xrightarrow{u} b \xrightarrow{v} fa'' \\ & & & & & \\ & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & \\ & & & & &$$

Equivalently, a split opfibration is a delta lens such that each chosen lift is *weakly* opcartesian. This means that for each morphism $w: a \to a''$ in \mathcal{A} , there exists a unique morphism $\tilde{w}: a' \to a''$ in \mathcal{A} such that $\tilde{w} \circ \varphi(a, u) = w$ and $f\hat{w} = 1$.



Since chosen lifts are closed under composition by axiom (DL3), it is straightforward to show that these characterisations of a split opfibration in terms of opcartesian and weakly opcartesian lifts are equivalent.

Let SOpf be the full subcategory of $\mathcal{L}ens$ whose objects are split opfibrations. It is natural to wonder if we can characterise the essential image of the subcategory inclusion $SOpf \rightarrow \mathcal{L}ens$ followed by the equivalence $\mathcal{L}ens \simeq \mathcal{D}iaLens$.

Recall that there is an endofunctor Dec: $Cat \rightarrow Cat$, which sends each category \mathcal{A} to the coproduct $\sum_{a \in \mathcal{A}} \mathcal{A}/a$ of its slice categories, called the *décalage* of \mathcal{A} . This endofunctor is copointed, and the component of ε : Dec $\Rightarrow 1$ at a category \mathcal{A} is the projection dom: $\sum_{a \in \mathcal{A}} \mathcal{A}/a \to \mathcal{A}$ which sends each morphism to its domain.

Proposition 14 ([10, Theorem 4.7]). A diagrammatic delta lens (f, p) corresponds to a split opfibration under the equivalence $DiaLens \simeq \mathcal{L}ens$ if and only if the functor $Dec(f) \circ \pi_2$ is a discrete optibration.

Б

$$\begin{array}{cccc} \mathfrak{X} \times_{\mathcal{A}} \operatorname{Dec}(\mathcal{A}) & \xrightarrow{\pi_{2}} & \operatorname{Dec}(\mathcal{A}) & \xrightarrow{\operatorname{Dec}(f)} & \operatorname{Dec}(\mathcal{B}) \\ & & & & \downarrow^{\varepsilon_{\mathcal{A}}} & & \downarrow^{\varepsilon_{\mathcal{B}}} \\ & & & & \chi & & \downarrow^{\varepsilon_{\mathcal{B}}} \\ & & & & \chi & \xrightarrow{p} & \mathcal{A} & \xrightarrow{f} & \mathcal{B} \end{array}$$

3. The double category of split multivalued functions

In this section, we introduce the double category SMult of sets, functions, and split multivalued functions. We also introduce the notion of globular transformation between double functors, akin to an icon of 2-functors. Our main results are that the SMult admits a 1-dimensional (Theorem 21) and 2-dimensional (Theorem 22) universal property as the "terminal" globular cone over K_* : Sq(Set) \rightarrow Span. We obtain an isomorphism $\operatorname{Jdx}(\operatorname{Cat}, \operatorname{SMult}) \cong \operatorname{GlobCone}(\operatorname{Cat}, K_*)$ of categories whose objects are lax double functors $\operatorname{Lo}(\mathcal{B}) \rightarrow \operatorname{SMult}$ and globular cones over K_* , respectively (Corollary 28).

We assume familiarity with basic double category theory [27]. To establish our conventions, a double category consists of four kinds of things: *objects*, *tight morphisms* $A \to B$ (drawn vertically), *loose morphisms* $A \to B$ (drawn horizontally), and *cells*. We have adopted the terminology of *tight* and *loose* from \mathcal{F} -categories [38]. Composition in the tight direction is strict, while composition in the loose direction is associative and unital up to specified isomorphism. A double category is called *strict* if composition in both the tight and loose directions is strict.

3.1. Split multivalued functions.

Definition 15. A multivalued function $A \rightarrow B$ is a span of functions

$$A \stackrel{s}{\longleftarrow} X \stackrel{t}{\longrightarrow} B \tag{6}$$

such that s is an epimorphism. A split multivalued function $A \rightarrow B$ is a diagram of functions

$$A \xrightarrow[]{\sigma}{\xrightarrow{\sigma}} X \xrightarrow{t} B \tag{7}$$

such that $s \circ \sigma = 1_A$.

Given a multivalued function (6), the fibre $s^{-1}\{a\}$ of the function $s: X \to A$ at each element $a \in A$ is a non-empty subset of X, and the restriction of the function $t: X \to B$ to this subset determines the "multiple values" of the element $a \in A$. For a split multivalued function (7), each fibre of $s: X \to A$ is equipped with a chosen element $\sigma(a) \in s^{-1}\{a\}$, which is furthermore sent to an element $t(\sigma(a)) \in B$.

There are various restrictions we could place of the definition of (split) multivalued function, such as working with isomorphism classes of spans, asking that the epimorphism leg have finite fibres, or requiring underlying span to be jointly monic. Our particular choice of definition provides the required level of generality to prove our desired results about delta lenses. **Definition 16.** The *double category of split multivalued functions*, denoted SMult, consists of the following data.

- (a) Objects are sets.
- (b) Tight morphisms $f: A \to B$ are functions.
- (c) Loose morphisms $(s, X, t, \sigma) \colon A \to B$ are split multivalued functions (7).
- (d) Cells correspond to diagrams of functions, as depicted below, such that the equations $q_2 \circ \alpha = g \circ p_2$, $q_1 \circ \alpha = f \circ p_1$, and $\alpha \circ \varphi = \psi \circ f$ hold.

- (e) Composition of tight morphisms is composition of functions, and identity tight morphisms are identity functions.
- (f) Given loose morphisms $(p_1, X, p_2, \varphi) \colon A \to B$ and $(q_1, Y, q_2, \psi) \colon B \to C$, their composite is given by the following diagram of functions, where Z is the pullback of p_2 and q_1 with corresponding projections π_X and π_Y , and $\langle 1_X, \psi \circ p_2 \rangle \colon X \to Z$ is the uniquely induced morphism into the pullback.



The identity loose morphism on a set A is given by (1_A, A, 1_A, 1_A): A → A.
(g) The identity cells on a tight morphism f: A → B and a loose morphism (s, X, t, σ): A → B are given by the following diagrams, respectively.

(h) The composite of cells in the tight direction is given by the following.

(i) The composite of cells in the loose direction is given by the following diagram where some labels have been omitted, and the function $\alpha \times \beta$ is the uniquely induced morphism into the pullback of p'_2 and q'_1 determined by the equation $p'_2 \circ \alpha \circ \pi_X = q'_1 \circ \beta \circ \pi_Y$.

(j) If we choose pullbacks in Set such that the pullback of the identity function is an identity function, then composition of loose morphisms is strictly unital. The associator isomorphisms are determined by the natural isomorphisms $(X \times_B Y) \times_C Z \cong X \times_B (Y \times_C Z)$ of pullbacks, however we omit the details.

While we have stated the definition of SMult explicitly, it is also natural to ask if this double category admits a characterisation via some universal property. The rest of this section is dedicated to providing such a characterisation. We begin by recalling two important double categories which are closely related to SMult.

Example 17. The *double category of spans*, denoted Span, is the double category whose objects are sets, whose tight morphisms are functions, whose loose morphisms are spans, and whose cells correspond to diagrams of functions, as depicted below, such that $q_1 \circ \alpha = f \circ p_1$ and $q_2 \circ \alpha = g \circ p_2$.

$$\begin{array}{ccccc} A \xrightarrow{(p_1, X, p_2)} B & & A \xleftarrow{p_1} X \xrightarrow{p_2} B \\ f \downarrow & \alpha & \downarrow g & \coloneqq & f \downarrow & \downarrow \alpha & \downarrow g \\ C \xrightarrow{(q_1, Y, q_2)} D & & C \xleftarrow{q_1} Y \xrightarrow{q_2} D \end{array}$$

There is a strict double functor U_2 : SMult \rightarrow Span which is determined by the following assignment on cells.

Example 18. The *double category of squares in* Set, denoted Sq(Set), is the double category whose objects are sets, whose tight and loose morphisms are both functions, and whose cells correspond to commuting squares of functions; this is a strict double category. There is a strict double functor $U_1: SMult \rightarrow Sq(Set)$ which is determined by the following assignment on cells.

The double categories Span and Sq(Set) related by a strict double functor $K_*: Sq(Set) \to Span$ with the following assignment on cells.

The notation K_* for this double functor is to remind the reader that it provides a choice of *companions* [19, 29] for the double category Span. To state the precise relationship between the double functors (9), (10), and (11), we must introduce a new definition.

3.2. Globular transformations. Recall that a (tight) transformation $\tau \colon F \Rightarrow G$ between double functors $F, G \colon \mathbb{A} \to \mathbb{X}$ provides, for each object $A \in \mathbb{A}$, a tight morphism $\tau_A \colon FA \to GA$ in \mathbb{X} and, for each loose morphism $p \colon A \to B$ in \mathbb{A} , a cell τ_p in \mathbb{X} as follows.

$$egin{array}{ccc} FA & \xrightarrow{Fp} & FB \ au_{A} & au_{p} & extstyle & au_{B} \ GA & \xrightarrow{Gp} & GB \end{array}$$

We wish to consider transformations with a certain property which is analogous to that of an *icon* between functors of bicategories [37].

Definition 19. Given (lax) double functors $F, G: \mathbb{A} \to \mathbb{X}$, a (tight) transformation $\tau: F \Rightarrow G$ is called *globular* if the tight morphism $\tau_A: FA \to GA$ is an identity for each object $A \in \mathbb{A}$,

A globular transformation $\tau: F \Rightarrow G$ implies that F and G have the same assignment on objects, and by naturality, the same assignment on tight morphisms. The term *globular* is chosen as the components of τ at a loose morphism are globular cells in the double category. Globular transformations are closed under composition and whiskering.

Lemma 20. There is a globular transformation between double functors as follows.

Proof. We define the globular transformation $\sigma: K_*U_1 \Rightarrow U_2$ as follows. For each object A in SMult, we have the identity function $1_A: A \to A$ in Span, and for each loose morphism (7) in SMult, we have the following globular cell in Span.

$$\begin{array}{ccc} A \xleftarrow{1_A} & A \xrightarrow{t\sigma} B \\ 1_A & \downarrow \sigma & \downarrow 1_B \\ A \xleftarrow{s} X \xrightarrow{t} B \end{array}$$

It is straightforward to verify that $\sigma: K_*U_1 \Rightarrow U_2$ is natural with respect to the cells in SMult.

3.3. The universal property of the double category of split multivalued functions. We now show that the globular transformation in Lemma 20 may be understood as universal globular cone over the double functor $K_* \colon Sq(Set) \to Span$, and characterises the double category SMult as a certain kind of limit.

Theorem 21 (1-dimensional universal property of SMult). Given lax double functors $F_1: \mathbb{B} \to Sq(Set)$ and $F_2: \mathbb{B} \to Span$ and a globular transformation



there exists a unique lax double functor $F \colon \mathbb{B} \to \mathbb{S}$ Mult such that $\sigma \cdot F = \varphi$, where $\sigma \colon K_*U_1 \Rightarrow U_2$ is defined in Lemma 20.

Proof. Since φ is a globular transformation, F_1 and F_2 agree on objects and tight morphisms; we denote this shared action by F. Given a loose morphism $u: A \to B$ in \mathbb{B} , we denote the component of φ at u by the following cell in Span.

This defines a split multivalued function $(s_u, F(u), t_u, \varphi_u) \colon FA \to FB$ for each loose morphism $u \colon A \to B$. Given a cell

$$\begin{array}{ccc} A & \stackrel{u}{\longrightarrow} & B \\ f & & & \downarrow^{g} \\ C & \stackrel{u}{\longrightarrow} & D \end{array}$$

in \mathbb{B} , the action of the lax double functor F_2 provides a function $F_2\alpha \colon F(u) \to F(v)$ such that $s_v \circ F_2\alpha = Ff \circ s_u$ and $t_v \circ F_2\alpha = Fg \circ t_u$. Furthermore, naturality of φ implies that the equation $F_2\alpha \circ \varphi_u = \varphi_v \circ Ff$ holds. Thus, for each cell α , as above, we have the following cell in SMult (where we write $F_2\alpha$ as $F\alpha$ for simplicity).

$$\begin{array}{c} FA \xrightarrow{\varphi_{u}}{} F(u) \xrightarrow{t_{u}}{} FB \\ Ff \downarrow & \downarrow_{F\alpha} & \downarrow_{Fg} \\ FC \xrightarrow{\varphi_{u}}{} FC \xrightarrow{\varphi_{u}}{} F(v) \xrightarrow{t_{v}}{} FD \end{array}$$

This completes the specification of the (nominal) lax double functor $F \colon \mathbb{B} \to \mathbb{S}$ Mult on objects, tight morphisms, loose morphisms, and cells. It remains to define the unit and composition comparison cells which provide the lax structure.

We first note that since Sq(Set) is a thin double category, $F_1: \mathbb{B} \to Sq(Set)$ is necessarily a strict double functor. For each object A and each composable pair of loose morphisms $u: A \to B$ and $v: B \to C$ in \mathbb{B} , the unit η and composition μ comparison cells for the lax double functor F_2 are given by the following diagrams.

We adopt the notation $s_A \coloneqq s_{\mathrm{id}_A}$ and $t_A \coloneqq t_{\mathrm{id}_A}$ for ease of readability, and let F(u, v)denote the pullback of $t_u \colon F(u) \to FB$ along $s_v \colon F(v) \to FB$; the corresponding projections π_u and π_v , respectively. The coherence of the transformation φ with the comparison cells implies that $\eta_A = \varphi_{\mathrm{id}_A}$ and $\varphi_{v \circ u} = \mu_{(u,v)} \circ \langle 1, \varphi_v \circ t_u \rangle \circ \varphi_u$ where $\langle 1, \varphi_v \circ t_u \rangle \colon F(u) \to F(u, v)$ is the uniquely induced morphism into the pullback.

We define the unit and composition comparison cells for the lax double functor $F: \mathbb{B} \to \mathbb{S}$ Mult as follows. For each object A and composable pair of loose morphisms $u: A \to B$ and $v: B \to C$ in \mathbb{B} , we have the following cells in \mathbb{S} Mult.

This completes the description of the data required to define the lax double functor $F: \mathbb{B} \to \mathbb{S}$ Mult. It is straightforward to show that this lax double functor is well-defined, and that the conditions $U_1F = F_1$, $U_2F = F_2$ and $\sigma \cdot F = \varphi$ hold. Proof of uniqueness is similarly straightforward, however we omit the details. \Box

Theorem 22 (2-dimensional universal property of SMult). Given a double functor $J: \mathbb{B} \to \mathbb{D}$, lax double functors F_1 , F_2 , G_1 , and G_2 , transformations θ_1 and θ_2 , and globular transformations φ and ψ such that



there exists a unique transformation between lax double functors



such that $U_1 \cdot \theta = \theta_1$, $U_2 \cdot \theta = \theta_2$, $\sigma \cdot F = \varphi$ and $\sigma \cdot G = \psi$, where $\sigma \colon K_*U_1 \Rightarrow U_2$ is defined in Lemma 20.

Proof. By Theorem 21, there exists unique lax double functors $F \colon \mathbb{B} \to \mathbb{S}$ Mult and $G \colon \mathbb{D} \to \mathbb{S}$ Mult such that $\sigma \cdot F = \varphi$ and $\sigma \cdot G = \psi$.

For each loose morphism $u: A \to B$ in \mathbb{B} , the transformation θ_2 provides a function $\theta_2 u: F(u) \to GJ(u)$ such that $s_{Ju} \circ \theta_2 u = \theta_A \circ s_u$ and $t_{Ju} \circ \theta_2 u = \theta_B \circ t_u$. We abuse notation by using s and t for both $F_2 u$ and $G_2 u$. By the main assumption, we also have that $\psi_{Ju} \circ \theta_A = \theta_2 u \circ \varphi_u$. Therefore, we may define a transformation $\theta: F \Rightarrow GJ$ whose component at a loose morphism $u: A \to B$ in \mathcal{B} is given by the following cell in SMult (where we write θu instead of $\theta_2 u$ for simplicity).

$$\begin{array}{c} FA \xrightarrow{\varphi_{u}} F(u) \xrightarrow{t_{u}} FB \\ \downarrow^{\theta_{A}} & \downarrow^{\theta_{u}} & \downarrow^{\theta_{B}} \\ GJA \xrightarrow{\psi_{J_{u}}} GJ(u) \xrightarrow{t_{J_{u}}} GJ(u) \xrightarrow{t_{J_{u}}} GJB \end{array}$$

Naturality and coherence with the unit and composition comparison cells for θ is inherited from the transformations θ_1 and θ_2 . Similarly, it is straightforward to show that whiskering θ with U_1 : SMult \rightarrow Sq(Set) and U_2 : SMult \rightarrow Span yields θ_1 and θ_2 , respectively. Proof of uniqueness is also easy, and we omit the details. \Box

Theorem 21 and Theorem 22 completely characterise the double category of split multivalued functions up to isomorphism. The universal properties presented are almost identical to those of a *comma double category* [28], except that we restrict to a cone which involves a globular transformation. An analogous construction of this certain kind of limit is possible by replacing $K_* \colon Sq(Set) \to Span$ with any (colax) double functor, however this level of generality is outside the scope of this paper.

3.4. Indexed split multivalued functions. We now use the universal properties of the double category SMult to establish an isomorphism of categories. We then restrict this along the inclusion \mathbb{L}_0 : Cat $\rightarrow \mathcal{D}_b$ to obtain the isomorphism required for our main result.

Definition 23. Let $GlobCone(Dbl, K_*)$ denote the category whose objects are quadruples $(\mathbb{B}, F_1, F_2, \varphi)$ consisting of a double category \mathbb{B} , lax double functors $F_1: \mathbb{B} \to Sq(Set)$ and $F_2: \mathbb{B} \to Span$, and a globular transformation $\varphi: K_*F_1 \Rightarrow F_2$, and whose morphisms $(J, \theta_1, \theta_2): (\mathbb{B}, F_1, F_2, \varphi) \to (\mathbb{D}, G_1, G_2, \psi)$ consist of a double functor $J: \mathbb{B} \to \mathbb{D}$ and tight transformations $\theta_1: F_1 \Rightarrow G_1 J$ and $\theta_2: F_2 \Rightarrow G_2 J$ such that the following equation holds.

$$\mathbb{B} \xrightarrow{J} \mathbb{D} \qquad \mathbb{B} \xrightarrow{J} \mathbb{D}$$

$$\stackrel{F_1}{\longrightarrow} \stackrel{G_1}{\longrightarrow} \stackrel{G_2}{\longrightarrow} \stackrel{G_2}{\longrightarrow} \qquad = \qquad \stackrel{F_1}{\swarrow} \stackrel{\varphi}{\longrightarrow} \stackrel{F_2}{\longrightarrow} \stackrel{\varphi}{\longrightarrow} \stackrel{G_2}{\longrightarrow} \stackrel{G_2}{\longleftarrow} \qquad = \qquad \stackrel{F_1}{\swarrow} \stackrel{\varphi}{\longrightarrow} \stackrel{F_2}{\longrightarrow} \stackrel{\varphi}{\longrightarrow} \stackrel{G_2}{\longrightarrow} \stackrel{G_2}$$

The notation for $GlobCone(Dbl, K_*)$ is chosen to remind us that the objects are globular cones over K_* , and that there is a fibration $GlobCone(Dbl, K_*) \to Dbl$ to the category of double categories and double functors.

Definition 24. Let $\operatorname{Jdx}(\operatorname{Dbl}, \operatorname{SMult})$ denote the category whose objects are pairs (\mathbb{B}, F) consisting of a double category \mathcal{B} and a lax double functor $F \colon \mathbb{B} \to \operatorname{SMult}$, and whose morphisms $(J, \theta) \colon (\mathbb{B}, F) \to (\mathbb{D}, G)$ consist of a double functor $J \colon \mathbb{B} \to \mathbb{D}$ and a tight transformation $\theta \colon F \Rightarrow GJ$.

The notation $\operatorname{Idx}(\operatorname{Dbl}, \operatorname{SMult})$ is chosen to remind us that the objects are split multivalued functions "indexed" by a double category. There is also a canonical fibration $\operatorname{Idx}(\operatorname{Dbl}, \operatorname{SMult}) \to \operatorname{Dbl}$.

Corollary 25. There is an isomorphism $GlobCone(Dbl, K_*) \cong Jdx(Dbl, SMult)$.

Proof. This follows immediately from the universal properties of SMult exhibited in Theorem 21 and Theorem 22.

Recall that there is a fully faithful functor $\mathbb{L}o: \operatorname{Cat} \to \mathcal{D}bl$ which constructs a strict double category $\mathbb{L}o(\mathcal{B})$ whose objects and loose morphisms are the objects and morphisms of the category \mathcal{B} , and whose tight morphisms and cells are identities. We formally define the following useful terminology introduced in Subsection 1.1.

Definition 26. A lax double functor $Lo(\mathcal{B}) \to SMult$ is called an *indexed split* multivalued function.

Remark 27. We may take the pullback of the fibration $\operatorname{Jdx}(\operatorname{Dbl}, \operatorname{SMult}) \to \operatorname{Dbl}$ along the functor $\operatorname{Lo}: \operatorname{Cat} \to \operatorname{Dbl}$ to obtain the category of indexed split multivalued functions which we denote $\operatorname{Jdx}(\operatorname{Cat}, \operatorname{SMult})$. Explicitly, the objects are pairs (\mathcal{B}, F) of a category \mathcal{B} and a lax double functor $F: \operatorname{Lo}(\mathcal{B}) \to \operatorname{SMult}$, while the morphisms $(k, \theta): (\mathcal{B}, F) \to (\mathcal{D}, G)$ consist of a functor $k: \mathcal{B} \to \mathcal{D}$ and a tight transformation $\theta: F \Rightarrow G \circ \operatorname{Lo}(k)$. The category $\operatorname{GlobCone}(\operatorname{Cat}, K_*)$ may be defined by an analogous pullback. The following corollary is an important component for proving our main theorem.

Corollary 28. There is an isomorphism $GlobCone(Cat, K_*) \cong Idx(Cat, SMult)$.

4. The category of elements construction for delta lenses

In this section, we first establish an equivalence $\text{DiaLens} \simeq \text{GlobCone}(\text{Cat}, K_*)$ between the categories of diagrammatic delta lenses and globular cones over K_* . We then prove our main result: an equivalence $\text{Lens} \simeq \text{Idx}(\text{Cat}, \text{SMult})$ between the categories of delta lenses and indexed split multivalued functions.

4.1. A double-categorical approach to the category of elements. We begin by extending the category Jdx(Cat, SMult) to one whose objects are lax double functors valued in an arbitrary double category. We then use this setting to provide a double-categorical perspective on the indexed presentations of discrete opfibrations and functors.

Definition 29. Let $\Im dx(\operatorname{Cat}, \mathbb{X})$ be the category whose objects are pairs (\mathcal{B}, F) of a (small) category \mathcal{B} and a lax double functor $F \colon \operatorname{Lo}(\mathcal{B}) \to \mathbb{X}$, and whose morphisms $(k, \theta) \colon (\mathcal{B}, F) \to (\mathcal{D}, G)$ consist of a functor $k \colon \mathcal{B} \to \mathcal{D}$ and a tight transformation of double functors as depicted below.



Using this definition, we can restate the classical category of elements construction for Set-valued functors in terms of double categories. Recall that $\mathcal{D}Opf$ is the full subcategory of Cat² whose objects are discrete opfibrations.

Proposition 30. There is an equivalence of categories $DOpf \simeq Idx(Cat, Sq(Set))$.

Proof. Given a category \mathcal{B} , a lax functor $\mathbb{L}o(\mathcal{B}) \to \mathbb{S}q(\text{Set})$ is necessarily strict, and moreover equivalent to a functor $\mathcal{B} \to \text{Set.}$ A morphism in $\operatorname{Jdx}(\operatorname{Cat}, \operatorname{Sq}(\operatorname{Set}))$ is equivalent to a functor $\mathcal{B} \to \mathcal{D}$ and a natural transformation as shown below.

By the classical category of elements construction, functors $\mathcal{B} \to \text{Set}$ are the same as discrete opfibrations into \mathcal{B} , and natural transformations (13) are the same as morphisms in $\mathcal{D}\text{Opf}$.

A generalisation of the classical category of elements construction provides an equivalence between lax double functors $Lo(\mathcal{B}) \to Span$ and ordinary functors into \mathcal{B} , as shown by Paré [43, Example 3.13] and Pavlović-Abramsky [45, Proposition 4]. We restate this result without the requirement of a fixed base category.

Proposition 31. There is an equivalence of categories $Cat^2 \simeq Idx(Cat, Span)$.

Given a lax double functor $F \colon \mathbb{X} \to \mathbb{Y}$, there is an induced functor

$$F: \operatorname{Jdx}(\operatorname{Cat}, \mathbb{X}) \to \operatorname{Jdx}(\operatorname{Cat}, \mathbb{Y})$$

given by post-composition (also denoted F by abuse of notation). If we consider the strict double functor $K_* \colon Sq(Set) \to Span$, defined in (11), this induces a fully faithful functor $K_* \colon Jdx(Cat, Sq(Set)) \to Jdx(Cat, Span)$ which "commutes" with the category of elements construction in Proposition 30 and Proposition 31.

To be precise, let $\mathcal{E}\ell$: $\mathrm{Jdx}(\mathrm{Cat}, \mathrm{Sq}(\mathrm{Set})) \to \mathcal{D}\mathrm{Opf}$ and $\mathcal{E}\ell$: $\mathrm{Jdx}(\mathrm{Cat}, \mathrm{Span}) \to \mathrm{Cat}^2$ denote the previous equivalences. Given a morphism $(k, \theta) : (\mathcal{B}, F) \to (\mathcal{D}, G)$ in $\mathrm{Jdx}(\mathrm{Cat}, \mathrm{Span})$, its image of under functor $\mathcal{E}\ell$ is the following morphism in Cat^2 .

The following result tells us that discrete opfibrations correspond precisely to those lax double functors $Lo(\mathcal{B}) \to SMult$ which factor through $K_*: Sq(Set) \to Span$.

Lemma 32. The following diagram commutes up to natural isomorphism.

We now address the relationship between identity-on-objects functors and globular transformations (see Definition 19) under the category of elements construction.

Lemma 33. If $(1_{\mathcal{B}}, \theta) : (\mathcal{B}, F) \to (\mathcal{B}, G)$ is a morphism in $\operatorname{Idx}(\operatorname{Cat}, \operatorname{Span})$ such that θ is a globular transformation, then $\operatorname{El}(1_{\mathcal{B}}, \theta) : \operatorname{El}(\mathcal{B}, F) \to \operatorname{El}(\mathcal{B}, G)$ is an identity-on-objects functor.

Conversely, if $(h, 1_{\mathbb{B}})$ is a morphism in Cat^2 such that h is identity-on-objects, then its image under the equivalence $\operatorname{Cat}^2 \simeq \operatorname{Jdx}(\operatorname{Cat}, \operatorname{Span})$ is a globular transformation.

Proof. The component of globular transformation θ at an object b in \mathcal{B} is the identity function F(b) = G(b) in Set. By construction, an object in $\mathcal{E}\ell(\mathcal{B}, F)$ is a pair $(b \in \mathcal{B}, x \in F(b))$, and this is sent by the functor $\mathcal{E}\ell(1_{\mathcal{B}}, \theta)$ to the object $(b \in \mathcal{B}, \theta_b(x) \in G(b))$ in $\mathcal{E}\ell(\mathcal{B}, G)$. However, since θ is globular, $\theta_b(x) = x$ and the functor $\mathcal{E}\ell(\mathcal{B}, \theta)$ is identity-on-objects. The proof of the converse is also straightforward, and is omitted.

Theorem 34. There is an equivalence $DiaLens \simeq GlobCone(Cat, K_*)$.

Proof. Recall from Definition 9 that a diagrammatic delta lens (f, p) is a composable pair of functors $f: \mathcal{A} \to \mathcal{B}$ and $p: \mathcal{X} \to \mathcal{A}$ such that p is identity-on-objects and fp is a discrete opfibration. By Lemma 33 and Proposition 31, a diagrammatic delta lens corresponds to a globular transformation $F_1 \Rightarrow F_2$ in $\operatorname{Jdx}(\operatorname{Cat}, \operatorname{Span})$. By Lemma 32 and Proposition 30, the double functor $F_1: \operatorname{Lo}(\mathcal{B}) \to \operatorname{SMult}$ factors through $K_*: \operatorname{Sq}(\operatorname{Set}) \to \operatorname{Span}$. Therefore, each diagrammatic delta lens corresponds to a globular transformation $K_* \circ F'_1 \Rightarrow F_2$ in $\operatorname{Jdx}(\operatorname{Cat}, \operatorname{Span})$ which is precisely an object in $\operatorname{GlobCone}(\operatorname{Cat}, K_*)$ by Definition 23 and Remark 27.

A morphism in DiaLens corresponds to a morphism in Cat^2 and a morphism in DOpf which are suitably compatible. Similarly, a morphism in $\operatorname{GlobCone}(\operatorname{Cat}, K_*)$ corresponds to a morphism in $\operatorname{Jdx}(\operatorname{Cat}, \operatorname{Span})$ and a morphism in $\operatorname{Jdx}(\operatorname{Cat}, \operatorname{Sq}(\operatorname{Set}))$ which are suitably compatible. By Proposition 30 and Proposition 31, we have equivalences $\operatorname{DOpf} \simeq \operatorname{Jdx}(\operatorname{Cat}, \operatorname{Sq}(\operatorname{Set}))$ and $\operatorname{Cat}^2 \simeq \operatorname{Jdx}(\operatorname{Cat}, \operatorname{Span})$, respectively, and it straightforward to show that these extend to the desired equivalence $\operatorname{DiaLens} \simeq \operatorname{GlobCone}(\operatorname{Cat}, K_*)$ by diagram-chasing and Lemma 32.

4.2. Main theorem: the Grothendieck construction for delta lenses. In Definition 5, we defined the category $\mathcal{L}ens$ whose objects are delta lenses (see Definition 1). In Remark 27, we defined the category $\operatorname{Jdx}(\operatorname{Cat}, \operatorname{SMult})$ whose objects are lax double functors $\operatorname{Lo}(\mathcal{B}) \to \operatorname{SMult}$, also called indexed split multivalued functions (see Definition 26). We now show that these categories are equivalent.

Theorem 35. There is an equivalence of categories

$$\mathcal{E}\ell$$
: $\mathbb{J}dx(\mathbb{C}at, \mathbb{S}Mult) \simeq \mathcal{L}ens$

between the categories of indexed split multivalued functions and delta lenses.

Proof. We have the following sequence of equivalences

$$\operatorname{Jdx}(\operatorname{Cat}, \operatorname{SMult}) \cong \operatorname{GlobCone}(\operatorname{Cat}, K_*) \simeq \operatorname{DiaLens} \simeq \operatorname{\mathcal{L}ens}$$

by Theorem 12, Theorem 34, and Corollary 28.

The functor $\mathcal{E}\ell$: $\mathrm{Jdx}(\mathrm{Cat}, \mathrm{SMult}) \to \mathcal{L}\mathrm{ens}$ is called the *Grothendieck construction* for delta lenses, or alternatively, the *category of elements* of an indexed split multi-valued function. The action of this construction was sketched in Subsection 1.2.

5. Examples and applications

5.1. Adjunctions of double categories. In Proposition 7, we showed that there is a coreflective adjunction

$$\mathcal{D}\mathrm{Opf} \xrightarrow[\Upsilon]{} \overset{\Lambda}{\underset{\Upsilon}{\overset{\tau}{\longrightarrow}}} \mathcal{L}\mathrm{ens}$$

between the category of discrete opfibrations and the category of delta lenses. We may demonstrate that this is induced by an adjunction of *double categories*.

Recall that the double category SMult admits a 1-dimensional and 2-dimensional universal property as the universal globular cone over K_* .



Given the identity (globular) transformation on K_* , there is a unique (strict) double functor $\langle 1, K_* \rangle \colon \text{Sq(Set)} \to \text{SMult}$ such that $U_1 \langle 1, K_* \rangle = 1_{\text{Sq(Set)}}, U_2 \langle 1, K_* \rangle = K_*$ and $\sigma \cdot \langle 1, K_* \rangle = \text{id}_{K_*}$ by Theorem 21. Given the morphism

$$\mathbb{SMult} \xrightarrow{1_{\mathbb{SMult}}} \mathbb{SMult} \xrightarrow{U_2} = \underbrace{\mathbb{Sq(Set)} \xrightarrow{U_1} \mathbb{Span}}_{K_*} \mathbb{Span} = \underbrace{\mathbb{Sq(Set)} \xrightarrow{U_2} U_2}_{K_*} \mathbb{Span}$$

in $GlobCone(Cat, K_*)$, there exists a unique tight transformation

such that $U_1 \cdot \varepsilon = 1_{U_1}$ and $U_2 \cdot \varepsilon = \sigma$ by Theorem 22. Applying the universal property again, we can show that $\varepsilon \cdot \langle 1, K_* \rangle = 1_{\langle 1, K_* \rangle}$, yielding the following result.

Proposition 36. There is a coreflective adjunction

$$\mathbb{Sq}(\mathrm{Set}) \xrightarrow[\langle 1, K_* \rangle]{U_1} \mathbb{SMult}$$

between the double category of commutative squares in Set and the double category of split multivalued functions, whose counit is (14).

The category $\operatorname{Jdx}(\operatorname{Cat}, \mathbb{X})$ introduced in Definition 29 extends to a 2-functor $\operatorname{Jdx}(\operatorname{Cat}, -): \operatorname{Dbl}_{\operatorname{lax}} \to \operatorname{CAT}$ from the 2-category of double categories, lax functors, and tight transformations to the 2-category of locally small categories, functors, and natural transformations Since $\operatorname{DOpf} \simeq \operatorname{Jdx}(\operatorname{Cat}, \operatorname{Sq}(\operatorname{Set}))$ by Proposition 30 and $\operatorname{Lens} \simeq \operatorname{Jdx}(\operatorname{Cat}, \operatorname{SMult})$ by Theorem 35, we recover the coreflective adjunction of Proposition 7 as an immediate corollary of Proposition 36.

The counit ε defined in (14) has a component at a split multivalued function $(s, X, t, \sigma) \colon A \to B$ given by the following cell.

Proposition 37. There is a reflective adjunction

$$\mathbb{Sq}(\mathrm{Set}) \xrightarrow{U_1} \mathbb{SMult}$$

whose right adjoint is the identity on objects and tight morphisms, and whose assignment on loose morphisms sends a function $A \to B$ to the split multivalued function $(\pi_A, A \times B, \pi_B, \langle 1_A, f \rangle) \colon A \to B$.

The component of the unit of this adjunction at a split multivalued function $(s, X, t, \sigma) \colon A \to B$ is given by the following cell.

$$\begin{array}{c} A \xrightarrow{b} X \xrightarrow{t} B \\ \downarrow^{A} \xrightarrow{\langle I_A, t\sigma \rangle} & \downarrow^{\langle s, t \rangle} & \downarrow^{I_B} \\ A \xrightarrow{\langle I_A, t\sigma \rangle} & A \times B \xrightarrow{\pi_B} B \end{array}$$

Applying the 2-functor $\operatorname{Jdx}(\operatorname{Cat}, -)$ to this reflective adjunction of double categories induces an adjunction of categories

$$\mathcal{D}\mathrm{Opf} \xleftarrow{U_1}{\pounds} \mathcal{L}\mathrm{ens}$$

by Theorem 35, whose unit factorises each delta lens into an *identity-on-objects* functor followed by a fully faithful delta lens as discussed in Remark 8.

There is also an adjunction of categories

$$\mathbf{2} \xrightarrow{\perp} \operatorname{Set}$$

between the interval category $\mathbf{2} = \{ \perp \rightarrow \top \}$ and Set, whose fully faithful right adjoint sends \perp to the empty set and \top to a singleton set, and whose left adjoint sends a set to \perp if it is the empty set and to \top otherwise.

Corollary 38. There is a composable pair of adjunctions as follows.

$$\mathbb{Sq}(\mathbf{2}) \xleftarrow{\hspace{0.1cm} \bot} \mathbb{Sq}(\mathbb{Set}) \xleftarrow{\hspace{0.1cm} U_1}{\hspace{0.1cm} \bot} \mathbb{SMult}$$

The composite adjunction induces a monad on SMult, which under the 2-functor Jdx(Cat, -) induces a monad on $\mathcal{L}ens$ by Theorem 35. The unit of this adjunction factorises each delta lens into a *surjective-on-objects delta lens* followed by a *fully faithful injective-on-objects delta lens*. This recovers the (epi, mono)-factorisation on the category of small categories and delta lenses [8, Theorem 4.17].

5.2. Split opfibrations as indexed split multivalued functions. In Section 2.3, we defined split opfibrations as delta lenses with the property that the chosen lifts are opcartesian, thus form a full subcategory $SOpf \hookrightarrow \mathcal{L}ens$. We also recalled the characterisation of split opfibrations as diagrammatic delta lenses with a certain property (Proposition 14). In the same spirit as Section 4.1, we can state the Grothendieck construction for split opfibrations in terms of double categories.

Proposition 39. There is an equivalence of categories $SOpf \simeq Idx(Cat, Sq(Cat))$.

Proof. Given a category \mathcal{B} , a lax functor $\mathbb{L}o(\mathcal{B}) \to \mathbb{S}q(\operatorname{Cat})$ is necessarily strict, and moreover equivalent to a functor $\mathcal{B} \to \operatorname{Cat}$. By the Grothendieck construction, functors $\mathcal{B} \to \operatorname{Cat}$ correspond to split opfibrations into \mathcal{B} . A similar argument can be made for the morphisms, and we omit the details.

By Theorem 35, it must also be possible to characterise split opfibrations as indexed split multivalued functions with a certain property. Given a lax double

functor $F: \mathbb{L}o(\mathcal{B}) \to \mathbb{S}Mult$, we may construct the following cell in SMult for each morphism $u: x \to y$ in \mathcal{B} , where ε_u is the component of the counit (15), and $\mu_{(u,1_y)}$ is the composition comparison cell of the lax double functor.

$$F(x) \xrightarrow{\langle 1,K_* \rangle U_1 F(u)} F(y) \xrightarrow{F(1_y)} F(y)$$

$$\parallel \varepsilon_u \parallel 1 \parallel$$

$$F(x) \xrightarrow{F(u)} F(y) \xrightarrow{F(1_y)} F(y)$$

$$\parallel \mu_{(u,1_y)} \parallel$$

$$F(x) \xrightarrow{F(u)} F(y)$$

$$F(y)$$

$$(16)$$

Proposition 40. A lax double functor $F : Lo(\mathcal{B}) \to SMult$ corresponds to a split opfibration under the equivalence $\mathcal{L}ens \simeq Jdx(Cat, SMult)$ if and only if the cell (16) is invertible (in the tight direction).

Proof. Consider the composite of the loose morphisms $\langle 1, K_* \rangle U_1 F(u) \colon F(x) \to F(y)$ and $F(1_y) \colon F(y) \to F(y)$ in SMult, where F(u) is the split multivalued function $(s_u, F(u), t_u, \varphi_u)$, and we use notation $s_y \coloneqq s_{1_y}, t_y \coloneqq t_{1_y}$ and $\varphi_y \coloneqq \varphi_{1_y}$ for clarity.



Next we may consider the composite of the loose morphisms $F(u): F(x) \rightarrow F(y)$ and $F(1_y): F(y) \rightarrow F(y)$ in SMult.



There is a function $\varphi_u \times 1 \colon F(x, 1_y) \to F(u, 1_y)$ such that $\pi_u(\varphi_u \times 1) = \varphi_u \pi_x$ and $\pi'_y(\varphi_u \times 1) = \pi_y$ by the universal property of the pullback, and a function $\mu_{(u,1_y)} \colon F(u, 1_y) \to F(u)$ from the composition comparison of the lax double functor.

The cell (16) is invertible if and only if the function

$$\mu_{(u,1_y)}(\varphi_u \times 1) \colon F(x,1_y) \to F(u)$$

is a bijection, which holds if and only if there exists a function $\chi_u \colon F(u) \to F(1_y)$ rendering the following three diagrams in Set commutative.

$$\begin{array}{cccc} F(u) & \xrightarrow{\chi_u} & F(1_y) & F(x, 1_y) & \xrightarrow{\pi_y} & F(1_y) & F(u) \\ s_u & \downarrow & \downarrow^{s_y} & \varphi_u \times 1 \downarrow & \uparrow^{\chi_u} & \langle \varphi_u s_u, \chi_u \rangle \downarrow & & \downarrow^{1_{F(u)}} \\ F(x) & \xrightarrow{t_u \varphi_u} & F(y) & F(u, 1_y) & \xrightarrow{\mu_{(u,1_y)}} & F(u) & F(u, 1_y) & \xrightarrow{\mu_{(u,1_y)}} & F(u) \end{array}$$

Altogether, these diagrams are equivalent to stating that for each $\alpha \in F(u)$ there exists a unique $\chi_u(\alpha) \in F(1_y)$ such that $\mu_{(u,1_y)}(\varphi_u s_u(\alpha), \chi_u(\alpha)) = \alpha$. Under the equivalence $\mathcal{E}\ell$: $\mathrm{Idx}(\mathrm{Cat}, \mathrm{SMult}) \simeq \mathcal{L}\mathrm{ens}$, this means that the chosen lifts of the delta lens $\mathcal{E}\ell(\mathcal{B}, F) \to \mathcal{B}$ are *weakly opcartesian*, which by Example 13 characterises the delta lens as a split opfibration. \Box

One novelty of this characterisation of split opfibrations is that it is much closer to the characterisation of functors as lax double functors $Lo(\mathcal{B}) \to Span$ rather than as normal lax double functors $Lo(\mathcal{B}) \to \mathbb{P}$ rof into the double category \mathbb{P} rof of categories, functors, and profunctors. Proposition 40 may also be understood as providing an *indexed version* of the characterisation of internal split opfibrations as internal delta lenses [10, Proposition 5.4].

5.3. Delta lenses as normal lax double functors. For a double category \mathbb{D} with local coequalisers preserved by loose composition, there is a double category $Mod(\mathbb{D})$ whose objects are loose monads, whose tight morphisms are monad morphisms, and whose loose morphisms are *bimodules* of monads [46]. Cruttwell and Shulman [17] showed that there is a correspondence as follows, where *normal* means that the unit comparison of the lax double functor is the identity.

lax double functors $\mathbb{C} \to \mathbb{D} \quad \iff \quad \text{normal lax double functors } \mathbb{C} \to Mod(\mathbb{D})$

Let $\operatorname{Jdx}(\operatorname{Cat}, \mathbb{X})_n$ denote the full subcategory of $\operatorname{Jdx}(\operatorname{Cat}, \mathbb{X})$ whose objects are normal lax double functors into \mathbb{X} . We may restate the correspondence of Cruttwell and Shulman as follows.

Lemma 41. There is an equivalence of categories $\operatorname{Jdx}(\operatorname{Cat}, \mathbb{X}) \simeq \operatorname{Jdx}(\operatorname{Cat}, \operatorname{Mod}(\mathbb{X}))_n$.

The double category Mod(Span) is equivalent to the double category Prof, since loose monads are small categories, tight monad morphisms are functors, and bimodules of monads are profunctors. Applying Lemma 41 and Proposition 31, we recover the well-known characterisation of functors into \mathcal{B} as normal lax (double) functors $\mathbb{L}o(\mathcal{B}) \to \mathbb{P}rof$ due to Bénabou.

Corollary 42. There is an equivalence of categories $\operatorname{Cat}^2 \simeq \operatorname{Jdx}(\operatorname{Cat}, \operatorname{Prof})_n$.

The double category SMult has local coequalisers preserved by loose composition, since both Sq(Set) and Span admit local coequalisers and $K_*: Sq(Set) \to Span$ preserves them. Therefore, we can also characterise delta lenses as certain normal lax double functors.

Proposition 43. There is an equivalence $\mathcal{L}ens \simeq \operatorname{Jdx}(\operatorname{Cat}, \operatorname{Mod}(SMult))_n$.

Proof. Follows immediately from Theorem 35 and Lemma 41.

What are the objects, tight morphisms, and loose morphisms in Mod(SMult)? The double functor U_2 : $SMult \rightarrow Span$, defined in (9), is faithful, therefore we may view SMult as like Span with additional structure on the loose morphisms. We may also show that Mod(SMult) can be viewed as Prof with additional structure on the loose morphisms.

Proposition 44. The double functor $Mod(U_2)$: $Mod(SMult) \rightarrow Mod(Span)$ is the identity on objects and tight morphisms, and faithful.

Therefore, a loose monad in SMult is a small category, and a monad morphism is a functor. A bimodule of monads is a triple (p, f, φ) which consists of a profunctor $p: \mathcal{A} \to \mathcal{B}$, corresponding to a functor $\mathcal{A}^{\mathrm{op}} \times \mathcal{B} \to \mathrm{Set}$, a functor $f: \mathcal{A}_0 \to \mathcal{B}_0$ between discrete categories, corresponding to a function $f: \mathrm{obj}(\mathcal{A}) \to \mathrm{obj}(\mathcal{B})$, and a cell in Prof

$$\begin{array}{ccc} \mathcal{A}_{0} & \xrightarrow{f_{*}} & \mathcal{B}_{0} \\ \iota_{\mathcal{A}} & \varphi & \downarrow \iota_{\mathcal{B}} \\ \mathcal{A} & \xrightarrow{p} & \mathcal{B} \end{array}$$

where $f_*: \mathcal{A}_0 \to \mathcal{B}_0$ is the representable profunctor such that $f_*(a, b)$ is the singleton if fa = b and the empty set otherwise, and $\iota_{\mathcal{A}}$ and $\iota_{\mathcal{B}}$ are the canonical identity-onobjects functors. The cell φ above amounts to the choice of an element $\varphi_a \in p(a, fa)$ for each object $a \in \mathcal{A}$. A cell in Mod(SMult) is a cell in Prof which commutes with this additional structure on loose morphisms.

There is a double functor $K'_* \colon Sq(Cat) \to Mod(SMult)$ which is the identity on objects and tight morphisms, and whose assignment on loose morphisms sends a

functor $f: \mathcal{A} \to \mathcal{B}$ to the triple (f_*, f_0, η) where $f_*: \mathcal{A} \to \mathcal{B}$ is given by $f_*(a, b) = \mathcal{B}(fa, b), f_0: \mathcal{A}_0 \to \mathcal{B}_0$ is determined by the underlying object assignment of f, and $\eta_a \in \mathcal{B}(fa, fa)$ chooses the element 1_{fa} .

Corollary 45. A normal lax double functor $F \colon Lo(\mathcal{B}) \to Mod(SMult)$ corresponds to a split opfibration under the equivalence $\mathcal{L}ens \simeq Jdx(Cat, Mod(SMult))_n$ if and only if it factors through $K'_* \colon Sq(Cat) \to Mod(SMult)$.

Proof. Follows immediately from Proposition 39.

Despite the characterisation of delta lenses as normal lax double functors in Proposition 43 being arguably more complex than the characterisation as lax double functors in Theorem 35, the corresponding characterisation of split opfibrations in Corollary 45 is much simpler than that of Proposition 40.

5.4. Pullback and pushforward of indexed split multivalued functions. There is a forgetful functor π : $\operatorname{Jdx}(\operatorname{Cat}, \operatorname{SMult}) \to \operatorname{Cat}$ which sends (\mathcal{B}, F) to \mathcal{B} ; under the equivalence of Theorem 35 this corresponds to cod: $\mathcal{L}ens \to \operatorname{Cat}$ which sends a delta lens $(f, \varphi): A \to \mathcal{B}$ to its codomain.

Proposition 46. The functor π : $\operatorname{Jdx}(\operatorname{Cat}, \operatorname{SMult}) \to \operatorname{Cat}$ has both a left adjoint and right adjoint.

Proof. The left adjoint sends each category \mathcal{B} to the constant strict double functor $\emptyset \colon \mathbb{L}o(\mathcal{B}) \to \mathbb{S}Mult$ which chooses the empty set. The right adjoint sends each category \mathcal{B} to the constant strict double functor $* \colon \mathbb{L}o(\mathcal{B}) \to \mathbb{S}Mult$ which chooses the terminal set. \Box

The pullback of a delta lens along a functor (which may also have a delta lens structure) has been important in the study of *symmetric delta lenses* [21, 34]. In the context of indexed split multivalued functions, the notion of pullback is very easy to capture.

Proposition 47. The functor π : $\operatorname{Jdx}(\operatorname{Cat}, \operatorname{SMult}) \to \operatorname{Cat}$ is a fibration.

 (\mathbf{r})

Proof. Given an object $(\mathcal{B}, F \colon \mathbb{L}o(\mathcal{B}) \to \mathbb{S}Mult)$ in $\operatorname{Jdx}(\operatorname{Cat}, \mathbb{S}Mult)$ and a morphism $k \colon \mathcal{D} \to \mathcal{B}$ in Cat , there is a cartesian lift

$$\begin{array}{c|c} \mathbb{L}\mathbf{O}(\mathcal{D}) & \mathbb{L}\mathbf{o}(k)\mathbf{o}F \\ \mathbb{L}\mathbf{o}(k) & \stackrel{id}{\longrightarrow} & \mathbb{S}Mult \\ \mathbb{L}\mathbf{o}(\mathcal{B}) & \stackrel{F}{\longrightarrow} \end{array}$$

given by pre-composition of F by Lo(k).

Surprisingly, there is also a notion of pushforward of a delta lens along a functor out of its codomain. In the setting of double categories, this amounts to the left Kan extension of a lax double functor $F \colon Lo(\mathcal{B}) \to SMult$ along a strict double functor $Lo(k) \colon Lo(\mathcal{B}) \to Lo(\mathcal{D})$. Although Left Kan extensions in this setting have been considered by Grandis and Paré [30], it is unclear if SMult has enough colimits in general. Fortunately, we are able to work in the setting of diagrammatic delta lenses where the pushforward is relatively easy to compute.

Proposition 48. The functor π : $\operatorname{Jdx}(\operatorname{Cat}, \operatorname{SMult}) \to \operatorname{Cat}$ is an opfibration.

Proof. The equivalence $DiaLens \simeq Jdx(Cat, SMult)$ is a fibrewise equivalence with respect to the functor $\pi: Jdx(Cat, SMult) \rightarrow Cat$, so it is enough to show that the functor cod: $DiaLens \rightarrow Cat$ is an opfibration.

Given an object $(f \colon \mathcal{A} \to \mathcal{B}, p \colon \mathcal{X} \to \mathcal{A})$ in DiaLens and a morphism $g \colon \mathcal{B} \to \mathcal{C}$ in Cat, the opcartesian lift may be computed as follows.



First, we may consider the composite functor $gfp: \mathcal{X} \to \mathbb{C}$ and take its comprehensive factorisation, giving an initial functor $\mathcal{X} \to \mathcal{Y}$ and a discrete opfibration $h: \mathcal{Y} \to \mathbb{C}$. Next, we can take the pushout of $p: \mathcal{X} \to \mathcal{A}$ along the initial functor to obtain an identity-on-objects functor $q: \mathcal{Y} \to \mathcal{A} +_{\mathcal{X}} \mathcal{Y}$ since p is identity-on-objects and these are stable under pushout. Finally, using the universal property of the pushout, we obtain a functor $[gf, h]: \mathcal{A} +_{\mathcal{X}} \mathcal{Y} \to \mathbb{C}$, which when precomposed with the identity-on-objects functor $q: \mathcal{Y} \to \mathcal{A} +_{\mathcal{X}} \mathcal{Y}$ yields a discrete opfibration h.

Therefore, we have a candidate opcartesian morphism $(f, p) \rightarrow ([gf, h], q)$ in DiaLens. To show that has the appropriate universal property, one uses the orthogonality property of the comprehensive factorisation system, and the universal property of the pushout; we omit the straightforward diagram-chasing. \Box

By Proposition 47 and Proposition 48, the functor $\pi: \operatorname{Jdx}(\operatorname{Cat}, \operatorname{SMult}) \to \operatorname{Cat}$ is a *bifibration*; we let $[\operatorname{Lo}(\mathcal{B}), \operatorname{SMult}]_{\operatorname{lax}}$ denote its fibre over an object \mathcal{B} in Cat .

Corollary 49. For each functor $f \colon \mathcal{A} \to \mathcal{B}$, there is an adjunction

$$[\mathbb{L}o(\mathcal{B}), \mathbb{S}Mult]_{lax} \xrightarrow{\overset{\Sigma_f}{\longleftarrow}} [\mathbb{L}o(\mathcal{A}), \mathbb{S}Mult]_{lax}$$

Proof. The right adjoint Δ_f is given by taking the cartesian lift of the morphism $f: \mathcal{A} \to \mathcal{B}$, while Σ_f is given by taking the opcartesian lift of f. Since $\pi: \operatorname{Jdx}(\operatorname{Cat}, \operatorname{SMult}) \to \operatorname{Cat}$ is bifibration, it follows immediately that these functors are adjoint.

5.5. Characterising retrofunctors as indexed split multivalued functions. A retrofunctor is a kind of morphism of categories first introduced under the name *cofunctor* [2], and shares a close relationship with delta lenses.

Definition 50. Given categories \mathcal{A} and \mathcal{B} , a *retrofunctor* $(f, \varphi) \colon \mathcal{A} \to \mathcal{B}$ consists of a function $f \colon obj(\mathcal{A}) \to obj(\mathcal{B})$ equipped with a lifting operation

$$(a \in \mathcal{A}, u \colon fa \to b \in \mathcal{B}) \quad \longmapsto \quad \varphi(a, u) \colon a \to a' \in \mathcal{A}$$

such that the following axioms hold, where cod(-) denotes the codomain.

(R1)
$$f \operatorname{cod}(\varphi(a, u)) = \operatorname{cod}(u);$$

$$(\mathbf{R2}) \qquad \varphi(a, \mathbf{1}_{fa}) = \mathbf{1}_a;$$

$$(\mathrm{R3}) \quad \varphi(a,v\circ u)=\varphi(a',v)\circ\varphi(a,u).$$

This is almost identical to Definition 1 for a delta lens, with the key difference being that the functor $\mathcal{A} \to \mathcal{B}$ has been replaced with a function $obj(\mathcal{A}) \to obj(\mathcal{B})$ and the first axiom has been weakened accordingly.

Although retrofunctors are typically understood as morphisms in a category (or a double category), here we will treat them as objects of a category.

Definition 51. Let RetFun denote the category whose objects are retrofunctors, and whose morphisms $(h,k): (f,\varphi) \to (g,\psi)$, as depicted below, consist of a pair of functors such that kf(a) = gh(a) and $h\varphi(a, u) = \psi(ha, ku)$.

$$\begin{array}{ccc} \mathcal{A} & \stackrel{h}{\longrightarrow} & \mathcal{C} \\ (f,\varphi) & & \downarrow (g,\psi) \\ \mathcal{B} & \stackrel{}{\longrightarrow} & \mathcal{D} \end{array}$$

There is a faithful functor $U: \mathcal{L}ens \to \mathbb{R}etFun$ which sends a delta lens (f, φ) to its underlying retrofunctor (f_0, φ) where f_0 is the underlying object assignment of the functor f. **Proposition 52** ([12, Theorem 9]). The functor $U: \mathcal{L}ens \to \mathbb{R}etFun$ is comonadic.

The right adjoint $R: \operatorname{RetFun} \to \operatorname{\mathcal{L}ens}$ sends a retrofunctor $(f, \varphi): \mathcal{A} \to \mathcal{B}$ to the delta lens $(Rf, R\varphi): \mathfrak{X} \to \mathcal{B}$ where \mathfrak{X} has the same objects as \mathcal{A} and whose morphisms are pairs $(w: a \to a' \in \mathcal{A}, u: fa \to fa' \in \mathcal{B}): a \to a'$. The functor $Rf: \mathfrak{X} \to \mathcal{B}$ is given by f on objects, and projection in the second component on morphisms. Given an object $a \in \mathfrak{X}$ and a morphism $u: fa \to b$ in \mathcal{B} , the chosen lift $R\varphi(a, u)$ is the morphism $(\varphi(a, u), u): a \to a'$ in \mathfrak{X} .

Definition 53. A cofree delta lens is a delta lens in the image of the right adjoint $R: \operatorname{RetFun} \to \operatorname{Lens}$.

The relationship between delta lenses and retrofunctors is far richer than the adjunction between their respective categories. For example, there is a correspondence between retrofunctors and certain spans of functors [9], leading to an equivalence of categories analogous to $\mathcal{L}ens \simeq \mathcal{D}iaLens$ in Theorem 12. Similarly, there is a correspondence between retrofunctors and certain globular transformations "over" the double functor $K_* \colon Sq(Set) \to Span$, leading to an equivalence of categories analogous to $\mathcal{L}ens \simeq \mathcal{G}lobCone(Cat, K_*)$ for delta lenses. Instead of proving this result in detail, which would take us outside the scope of this paper, we show how each retrofunctor determines a globular transformation of double functors, and provide a characterisation of the cofree delta lenses.

Given a category \mathcal{B} , let \mathcal{B}_{∞} denote the *codiscrete category* determined by its underlying set of objects, and $j_{\mathcal{B}} \colon \mathcal{B} \to \mathcal{B}_{\infty}$ the canonical identity-on-objects functor.

Proposition 54. Each retrofunctor (f, φ) : $\mathcal{A} \to \mathcal{B}$ determines a globular transformation of lax double functors as follows.

Proof. Since Φ is a globular transformation, F_1 and F_2 have the same assignment on objects which we denote by F. Let $F(x) = \{a \in \mathcal{A} \mid fa = b\}$ for each object $x \in \mathcal{B}$. Given a morphism $u: x \to y$, we define the function $F_1(u): F(x) \to F(y)$ by the assignment $a \mapsto \operatorname{cod}(\varphi(a, u))$; the (strict) double functor $F_1: \operatorname{Lo}(\mathcal{B}) \to \operatorname{Sq}(\operatorname{Set})$ is well-defined by the axioms of retrofunctor. Given a pair of objects (x, y), we define the set $F_2(x,y) = \{w \colon a \to a' \in \mathcal{A} \mid fa = x, fa' = y\}$ and a span

$$F(x) \xleftarrow{s_x} F(x,y) \xrightarrow{t_y} F(y)$$

where $s_x(w: a \to a') = a$ and $t_y(w: a \to a') = a'$. This extends to a lax double functor $F_2: \mathbb{L}o(\mathcal{B}_{\infty}) \to \mathbb{S}pan$, where the unit and multiplication comparison cells determined by the identity and composition of \mathcal{A} . Finally, the globular transformation Φ has the component at a morphism $u: x \to y$ in \mathcal{B} given by the diagram

where $\Phi_u(a) = \varphi(a, u)$.

Given a globular transformation (17), we may easily obtain the globular cone over K_* given the triple $(\mathcal{B}, F_1, F_2 \circ \mathbb{L}o(j_B))$. This describes the assignment on objects of the functor $\operatorname{RetFun} \to \operatorname{Lens} \simeq \operatorname{GlobCone}(\operatorname{Cat}, K_*)$ and provides a way of characterising the objects in the essential image.

Proposition 55. A globular cone



corresponds to a cofree delta lens under the equivalence $\mathcal{L}ens \simeq \mathrm{GlobCone}(\mathrm{Cat}, K_*)$ if and only if F_2 factors through the double functor $\mathrm{Lo}(j_{\mathcal{B}}) \colon \mathrm{Lo}(\mathcal{B}) \to \mathrm{Lo}(\mathcal{B}_{\infty})$.

5.6. The category of elements as a right adjoint. In Proposition 52, we recalled that delta lenses are coalgebras for a comonad on the category of retrofunctors. There is also a dual result, which states that delta lenses are algebras for a monad on the category of functors.

Proposition 56 ([14, Corollary 24]). The functor $U: \mathcal{L}ens \to \mathbb{C}at^2$ is monadic.

The category of elements of an indexed split multivalued function describes a functor $\mathcal{E}\ell$: $\operatorname{Jdx}(\operatorname{Cat}, \operatorname{SMult}) \to \operatorname{Cat}$ which given by following the composite.

 $\mathbb{J}\mathrm{dx}(\mathbb{C}\mathrm{at},\mathbb{S}\mathrm{Mult}) \xrightarrow{\simeq} \mathcal{L}\mathrm{ens} \xrightarrow{U} \mathbb{C}\mathrm{at}^{\mathbf{2}} \xrightarrow{\mathrm{dom}} \mathbb{C}\mathrm{at}$

The functor dom: $\operatorname{Cat}^2 \to \operatorname{Cat}$ which sends a functor to its domain category has a left adjoint, which sends a category to its identity functor. The functor $U: \operatorname{\mathcal{L}ens} \to \operatorname{Cat}^2$ has a left adjoint by Proposition 56. Finally, $\mathcal{E}\ell: \operatorname{Jdx}(\operatorname{Cat}, \operatorname{SMult}) \to \operatorname{\mathcal{L}ens}$ is an (adjoint) equivalence, whose left adjoint is described explicitly in Section 1.2. Thus, we can characterise the category of elements of an indexed split multivalued function as right adjoint to the functor which constructs the indexed multivalued valued function corresponding to the free delta lens on the identity functor.

Proposition 57. The functor $\mathcal{E}\ell$: $\operatorname{Jdx}(\operatorname{Cat}, \operatorname{SMult}) \to \operatorname{Cat}$ has a left adjoint.

Proof. We may define the left adjoint $\operatorname{Fr}: \operatorname{Cat} \to \operatorname{Jdx}(\operatorname{Cat}, \operatorname{SMult})$ explicitly. Given a category \mathcal{B} , the lax double functor $\operatorname{Fr}_{\mathcal{B}}: \operatorname{Lo}(\mathcal{B}) \to \operatorname{SMult}$ is given as follows. For each object $x \in \mathcal{B}$, we define the set $\operatorname{Fr}_{\mathcal{B}}(x) = \sum_{b \in \mathcal{B}} \mathcal{B}(b, x)$ and for each morphism $u: x \to y$ in \mathcal{B} we define the set

$$\operatorname{Fr}_{\mathbb{B}}(u) = \operatorname{Fr}_{\mathbb{B}}(x) + \{ (b_1 \xrightarrow{\alpha} x, x \xrightarrow{\beta} b_2, b_2 \xrightarrow{\gamma} b_3, b_3 \xrightarrow{\delta} y) \mid \beta \alpha = 1_{b_1}, \delta \gamma \beta = u, \gamma \neq 1 \}.$$

To construct the split multivalued function

$$\mathrm{Fr}_{\mathbb{B}}(x) \stackrel{\sigma_{u}}{\displaystyle \displaystyle \ll \atop s_{u}} \mathrm{Fr}_{\mathbb{B}}(u) \stackrel{t_{u}}{\displaystyle \longrightarrow} \mathrm{Fr}_{\mathbb{B}}(y)$$

we define the following functions.

$$egin{array}{ll} s_u(lpha\colon b o x) = lpha & ext{and} & s_u(lpha,eta,\gamma,\delta) = lpha \ t_u(lpha\colon b o x) = u\circlpha & ext{and} & s_u(lpha,eta,\gamma,\delta) = \delta \ \sigma_u(lpha\colon b o x) = lpha \end{array}$$

Defining the function $\mu_{(u,v)}: F(u,v) \to F(v \circ u)$ corresponding to the multiplication comparison of the lax double functor is fairly complex, and we refer the reader to [15, Construction 3.16] where they may infer the details.

5.7. Monoidal structures on indexed split multivalued functions. The double category SMult admits a cartesian and a cocartesian monoidal structure, since Sq(Set) and Span have products and coproducts and the double functor K_* preserves them. These induce corresponding monoidal structures on category Idx(Cat, SMult) and the fibres $[Lo(\mathcal{B}), SMult]_{lax}$ of the forgetful functor $\pi: Idx(Cat, SMult) \rightarrow Cat$.

Proposition 58. The category Idx(Cat, SMult) has products and coproducts.

Proof. Given lax double functors $F \colon Lo(\mathcal{B}) \to SMult$ and $G \colon Lo(\mathcal{D}) \to SMult$, their product is given by the lax double functor $F \times G \colon Lo(\mathcal{B} \times \mathcal{D}) \to SMult$ where

 $(F \times G)(x, x') = F(x) \times G(x')$ for each object $(x, x') \in \mathcal{B} \times \mathcal{D}$, and $(F \times G)(u, u')$ is the split multivalued function

$$F(x) \times G(x') \xrightarrow[s_u \times s_{u'}]{\overset{\sigma_u \times \sigma_{u'}}{\underset{s_u \times s_{u'}}{\overset{w_u \times s_{u'}}}{\overset{w_u \times s_{u'}}}}}}}}}}}}}}}}}}}}}}$$

for each morphism $(u: x \to y, u': x' \to y')$ in $\mathcal{B} \times \mathcal{D}$. Dually, the coproduct F + G is given by the component-wise coproduct on $\mathbb{L}o(\mathcal{B} + \mathcal{D})$.

Proposition 59. The category $[Lo(\mathcal{B}), SMult]_{lax}$ has products and coproducts.

Proof. Given lax double functors $F \colon \mathbb{L}o(\mathcal{B}) \to \mathbb{S}Mult$ and $G \colon \mathbb{L}o(\mathcal{B}) \to \mathbb{S}Mult$, their product is given by the lax double functor $F \times_{\mathcal{B}} G \colon \mathbb{L}o(\mathcal{B}) \to \mathbb{S}Mult$ where $(F \times_{\mathcal{B}} G)(x) = F(x) \times G(x)$ for each object $x \in \mathcal{B}$, and $(F \times_{\mathcal{B}} G)(u)$ is the split multivalued function

$$F(x) \times G(x) \xrightarrow[s_u \times s_u]{\sigma_u \times \sigma_u} F(u) \times G(u) \xrightarrow{t_u \times t_u} F(y) \times G(y)$$

for each morphism $u: x \to y$ in \mathcal{B} . Dually, the coproduct $F +_{\mathcal{B}} G$ is given by the component-wise coproduct.

The product in $[\mathbb{L}o(\mathcal{B}), \mathbb{S}Mult]_{lax}$ corresponds to the fibre product of delta lenses which has been used in the study of symmetric delta lenses [11, Proposition 3.4].

5.8. Sub-double categories and classes of delta lenses. We can capture many natural classes of delta lenses under the equivalence $\mathcal{L}ens \simeq \operatorname{Jdx}(\operatorname{Cat}, \operatorname{SMult})$ by restricting the lax double functors $\operatorname{Lo}(\mathcal{B}) \to \operatorname{SMult}$ which factor through some (fully faithful) double functor $\mathbb{X} \to \operatorname{SMult}$.

We have already seen examples of this kind in Section 5.1. An indexed split multivalued function $Lo(\mathcal{B}) \to SMult$ corresponds to:

- a discrete opfibration if it factors through $\langle 1, K_* \rangle \colon \mathbb{S}q(\text{Set}) \to \mathbb{S}Mult$ defined in Proposition 36;
- a fully faithful delta lens if it factors through $Sq(Set) \rightarrow SMult$ defined in Proposition 37;
- an injective-on-objects delta lens if it factors through $Sq(2) \rightarrow SMult$ defined in Corollary 38.

Let $\mathbb{P}Set \to \mathbb{S}Mult$ denote the full sub-double category with a single object given by the singleton set. Loose morphisms in $\mathbb{P}Set$ are *pointed sets* and their composition given by the product of pointed sets. Let $SMult_{\neq \emptyset} \rightarrow SMult$ denote the full sub-double category whose objects are *non-empty sets*.

Let \mathbb{R} elSMult \rightarrow SMult denote the full sub-double category with the same objects and whose loose morphisms are given by split multivalued functions whose underlying span is a *relation*.

Let $Mono \rightarrow Mult$ denote the full sub-double category with the same objects and whose loose morphisms are given by *split monomorphisms*; that is, split multivalued functions of the following form.

$$A \xrightarrow[]{\sigma}{s} B \xrightarrow{1_B} B \qquad s \circ \sigma = 1_A$$

Proposition 60. A lax double functor $Lo(B) \rightarrow SMult$ corresponds to:

- a bijective-on-objects delta lens if it factors through $\mathbb{P}Set \to \mathbb{S}Mult$;
- a surjective-on-objects delta lens if it factors through $SMult_{\neq \emptyset} \rightarrow SMult$;
- a faithful delta lens if it factors though $\mathbb{R}elSMult \rightarrow SMult$;
- a delta lens whose underlying functor is a discrete fibration if it factors through $Mono \rightarrow Mult$.

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